

Version 1

The Parkinson's Progression Markers Initiative (PPMI) Clinical - Establishing a Deeply Phenotyped PD Cohort V.1

DOI

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Jacqueline Carley

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Abstract

This protocol details the Parkinson's Progression Markers Initiative (PPMI) Clinical - establishing a deeply phenotyped PD cohort.

Attachments



PPMI Clinical Protoc...

1.2MB

Guidelines

APPENDIX 1 – Healthy Control Schedule Years 0-5

		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
	1	Visit Number		Screening	Baseline (BL)	V02	V04	V05	V06	R06	V08	R08	V10	R10	V12	Transition Activities	Event Driven Modified Visit
	2	Assessment	**Timpoint	-60 days	0	6 mths	12 (Y1)	18 mths	24 (Y2)	30 mths	36 (Y3)	42 mths	48 (Y4)	54 mths	60 (Y5)	---	---
	3	Consent Activities															
	4	Documentation of Informed Consent		X	As Needed											X	
	5	Continuing Consent					X		X		X		X		X		
	6	Research Proxy Designation		X	As Needed (X)												
	7	Consent to share contact information		X	As Needed											X	
	8	Informed Consent Tracking Log		X	As Needed											X	
	9	General Activities															
	10	Demographics		X													
	11	Family History		X													
	12	Socio-Economics		X													



13	Physical Examination		X													
14	Program Assessment															
15	Vital Signs (Height and Weight BL + Annually)		X	X	X	X	X	X		X		X		X		
16	Review Inclusion/Exclusion Criteria		I	I												
17	Visit Status		X													
18	Screen Fail		As Needed												As Needed	
19	Conclusion of Study Participation				As Needed											
20	Neurological/Motor Assessments															
21	Participant Motor Function Questionnaire			P		P		P		P		P		P		
22	Freezing and Falls			X		X		X		X		X		X		
23	Neurological Examination		I			I		I		I		I		I	I	
24	MDS-UPDRS Part Ia, Part III Treatment Determination/ Motor Exam/Hoehn & Yahr			I	I	I	I	I	I	I	I	I	I	I		
25	MDS-UPDRS Part Ib and Part II			P	P	P	P	P	P	P	P	P	P	P		
26	Modified Schwab & England ADL			I	I	I	I	I	I	I	I	I	I	I		
27	Features of Parkinsonism			I	I	I	I	I	I	I	I	I	I	I		



28	Other Clinical Features			I	I	I	I	I	I	I	I	I	I	I			
29	Primary Research Diagnosis			I	I	I	I	I	I	I	I	I	I	I			
30	Clinical Diagnosis			X	X	X	X	X	X	X	X	X	X	X			
31	Non-Motor Assessments																
32	Olfactory Testing (UPSIT)			P													
33	REM Sleep Behavior Disorder Screening Questionnaire			P		P		P		P		P		P			
34	Epworth Sleepiness Scale			P		P		P		P		P		P			
35	SCOPA-AUT			P		P		P		P		P		P			
36	Neuro QoL			P		P		P		P		P		P			
37	Cognitive Assessments																
38	Montreal Cognitive Assessment*			X		X		X		X		X		X			
39	Clock Drawing*			X		X		X		X		X		X			
40	Lexical Fluency*			X		X		X		X		X		X			
41	Hopkins Verbal Learning Test-Revised*			X		X		X		X		X		X			
42	Benton Judgment of Line Orientation*			X		X		X		X		X		X			
43	Modified Semantic Fluency (Animals only)*			X		X		X		X		X		X			



4 4	Letter Number Sequencing*			X		X		X		X		X		X		
4 5	Symbol Digit Modalities Test*			X		X		X		X		X		X		
4 6	Trail Making Test (A and B)*			X		X		X		X		X		X		
4 7	Modified Boston Naming Test*			X		X		X		X		X		X		
4 8	Cognitive Change			P	P	P	P	P		P		P		P		
4 9	Cognitive Categorization			I		I		I		I		I		I		
5 0	Neuropsychological Assessments															
5 1	State-Trait Anxiety Inventory for Adults			P		P		P		P		P		P		
5 2	Geriatric Depression Scale			P		P		P		P		P		P		
5 3	QUIP			P		P		P		P		P		P		
5 4	Clinical and Biological Samples															
5 5	Clinical Lab blood sample		X													
5 6	Research Biosamples (blood + urine)			X	X	X	X	X		X		X		X		
5 7	Lumbar puncture			X		X		X		X		X		X		
5 8	Skin biopsy			X				X				X			Xc	
5 9	Imaging Activities															



60	Pregnancy Test (prior to tracer injection), if applicable		X													
61	Dopamine Imaging		X													
62	MRI			X												
63	Safety and General Health															
64	#Adverse Events		X	X		X		X		X		X		X		
65	Adverse Event Telephone Assessment		X	X		X		X		X		X		X		
66	Current Medical Conditions Review		X	X	X	X	X	X	X	X	X	X	X	X		
67	Concomitant Medication Review		X	X	X	X	X	X	X	X	X	X	X	X		
68	Participation in Other Studies As Needed		As Needed													
69	Report of Pregnancy		As Needed													

I = Investigator (or trained designee) completed assessment

P = Participant completed assessment

X = Investigator or Coordinator completed assessment (or as otherwise delegated)

R0X Visits are conducted remotely (e.g., video, audio)

a = rigidity and postural stability not assessed for Out of Clinic or Remote "R" visits; Part III and Hoehn & Yahr not done if phone/audio only

b = Transition Activities completed for all previously enrolled participants transitioning into new database at first visit only

c = Previously enrolled participants transitioning to new database may be asked to have a skin biopsy. If not done at first visit, may be conducted at a subsequent in person visit.

d = Skin biopsy will be conducted at participating sites.

H= see protocol section 11 for modification of visit schedule due to New Clinical Diagnosis, Need for PD Therapy or withdrawal from study

*Completed on paper source first, and then scores entered into EDC.

**Window of +45 days either side of Target Visit Date

Adverse events collected only day of and 2-3 business days post Dopamine Imaging, LP and skin biopsy per protocol.

As needed assessments can be located under the Event Driven category in EDC

APPENDIX 2 – PD / PD Genetic Schedule Years 0 – 5

		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
	1	Visit Number		Sc re eni ng	Ba seli ne (BL)	V0 2	V 0 4	V0 5	V 0 6	R0 6	V 0 8	R0 8	V1 0	R1 0	V1 2	bTra nsiti on Acti vities	HEve nt Drive n Modifi ed Visit
	2	Assessment	**Tim epoin t	-6 0 days	0	6 mt hs	12 (Y 1)	18 mt hs	2 4 (Y 2)	30 mt hs	3 6 (Y 3)	42 mt hs	4 8 (Y 4)	54 mt hs	6 0 (Y 5)	---	---
	3	Consent Activities															
	4	Documentation of Informed Consent		X	As Ne eded											X	
	5	Continuing Consent					X		X		X		X		X		
	6	Research Proxy Designation		X	As Ne eded											X	
	7	Consent to share contact information		X	As Ne eded											X	
	8	Informed Consent Tracking Log		X	As Ne eded											X	
	9	General Activities															
	10	Demographics		X												X	
	11	Family History		X												X	
	12	Socio- Economics		X												X	



13	Physical Examination		X													
14	Program Assessment			X	X	X	X	X	X	X	X	X				
15	Clinical Global Impression (CGI)			I		I		I		I		I		I		
16	Vital Signs (Height and Weight BL + Annually)		X	X	X	X	X	X		X		X		X		
17	Review Inclusion/Exclusion Criteria		I	I												
18	Visit Status		X	X	X	X	X	X	X	X	X	X	X	X		
19	Screen Fail		As Needed												As Needed	
20	Conclusion of Study Participation				As Needed											
21	Neurological/Motor Assessments															
22	Participant Motor Function Questionnaire			P		P		P		P		P		P		
23	Freezing and Falls			X		X		X		X		X		X		
24	PD Diagnosis History		I													
25	Neurological Examination		I			I		I		I		I		I		
26	Initiation of Dopaminergic Therapy				X	X	X	X	X	X	X	X	X	X		
27	MDS-UPDRS Part Ia, Part III Treatment Determination/Motor Exam/Hoehn & Yahr,d			I	I	I	I	I	I	I	I	I	I	I		



28	MDS-UPDRS Part Ib and Part II			P	P	P	P	P	P	P	P	P	P	P			
29	Modified Schwab & England ADL			I	I	I	I	I	I	I	I	I	I	I			
30	MDS-UPDRS Part IVd				I	I	I	I	I	I	I	I	I	I			
31	MDS-UPDRS Repeat Part III/Hoehn & Yahra,d				I	I	I	I		I		I		I			
32	Features of Parkinsonism			I	I	I	I	I	I	I	I	I	I	I			
33	Other Clinical Features			I	I	I	I	I	I	I	I	I	I	I			
34	Primary Research Diagnosis			I	I	I	I	I	I	I	I	I	I	I			
35	Clinical Diagnosis			X	X	X	X	X	X	X	X	X	X	X			
36	Non-Motor Assessments																
37	Olfactory Testing (UPSIT)			P													
38	REM Sleep Behavior Disorder Screening Questionnaire			P		P		P		P		P		P			
39	Epworth Sleepiness Scale			P		P		P		P		P		P			
40	SCOPA-AUT			P		P		P		P		P		P			
41	Participant Global Impression (PGI)			P		P		P		P		P		P			
42	Neuro QoL			P		P		P		P		P		P			
43	Cognitive Assessments																



44	Montreal Cognitive Assessment*			X		X		X		X		X		X		
45	Clock Drawing*			X		X		X		X		X		X		
46	Lexical Fluency*			X		X		X		X		X		X		
47	Hopkins Verbal Learning Test-Revised*			X		X		X		X		X		X		
48	Benton Judgment of Line Orientation*			X		X		X		X		X		X		
49	Modified Semantic Fluency (Animals only)*			X		X		X		X		X		X		
50	Letter Number Sequencing*			X		X		X		X		X		X		
51	Symbol Digit Modalities Test*			X		X		X		X		X		X		
52	Trail Making Test (A and B)*			X		X		X		X		X		X		
53	Modified Boston Naming Test*			X		X		X		X		X		X		
54	Cognitive Change			P	P	P	P	P		P		P		P		
55	Cognitive Categorization			I		I		I		I		I		I		
56	Neuropsychological Assessments															
57	State-Trait Anxiety Inventory for Adults			P		P		P		P		P		P		
58	Geriatric Depression Scale			P		P		P		P		P		P		
59	QUIP			P		P		P		P		P		P		



60	Clinical and Biological Samples															
61	Clinical Lab blood sample		X													
62	Research Biosamples (blood + urine)			X	X	X	X	X		X		X		X		
63	Lumbar puncture			X		X		X		X		X		X		
64	Skin biopsyf			X				X				X			Xc	
65	Imaging Activities															
66	Pregnancy Test (prior to tracer injection), if applicable		X			X		X				X				
67	Dopamine Imaging		X			X		X				X				
68	MRI			X		X		X				X				
69	Safety and General Health															
70	#Adverse Events		X	X		X		X		X		X		X		
71	Adverse Event Telephone Assessment		X	X		X		X		X		X		X		
72	Current Medical Conditions Review		X	X	X	X	X	X	X	X	X	X	X	X		
73	Concomitant Medication Review		X	X	X	X	X	X	X	X	X	X	X	X		
74	LEDD Concomitant Medication Log		As Needed													
75	Participation in Other Studies		As Needed													

	7 6	Surgery for PD Log			As Ne ed ed												
	7 7	Report of Pregnancy		As Ne ed ed													

I = Investigator (or trained designee) completed assessment.

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R0X Visits are conducted remotely (e.g., video, audio).

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c = Previously enrolled participants transitioning to new database may be asked to have skin biopsy. If not done at first visit, may be conducted at a subsequent in person visit.

d = Investigator or Coordinator may complete treatment and timing information.

f = Skin biopsy will be conducted at participating sites.

H= see protocol section 11 for modification of visit schedule due to New Clinical Diagnosis, Need for PD Therapy or withdrawal from study.

*Completed on paper source first, and then scores entered into EDC.

**Window of +45 days either side of Target Visit Date.

Adverse events collected only day of and 2-3 business days post Dopamine Imaging, LP and skin biopsy per protocol.

As needed assessments can be located under the Event Driven category in EDC.

APPENDIX 3 – Prodromal Schedule Years 0 – 5

		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
	1	Visit Number		SC (SP EC T)	BL (Cl ini c)	R01	V 0 4	R0 4	V 0 6	R0 6	V 0 8	R0 8	V1 0	R1 0	V1 2	bTra nsiti on Acti vitie s	HEve nt Drive n Modif ied Visit
	2	Assessment	** Ti me poi nt	-60 day s	0	6 mt hs	12 (Y 1)	18 mt hs	2 4 (Y 2)	30 mt hs	3 6 (Y 3)	42 mt hs	4 8 (Y 4)	54 mt hs	6 0 (Y 5)	---	---
	3	Consent Activities															



4	Documentation of Prodromal Screening Consent		X													
5	Documentation of Informed Consent			X	As Needed										X	
6	Continuing Consent					X		X		X		X		X		
7	Research Proxy Designation			X	As Needed										X	
8	Consent to share contact information			X	As Needed										X	
9	Informed Consent Tracking Log		X	X	As Needed											
10	Pre-Screening Activities															
11	Prodromal History		X													
12	Olfactory Testing (UPSIT)		P g													
13	General Activities															
14	Demographics		X												X	
15	Family History		X												X	
16	Socio-Economics		X												X	
17	Physical Examination			X												
18	Vital Signs (Height and Weight BL + Annually)			X		X		X		X		X		X		
19	Review Inclusion/Exclusion Criteria		I	I												



20	Program Assessment			X	X	X	X	X	X	X	X	X	X	X			
21	Clinical Global Impression (CGI)			I		I		I		I		I		I			
22	Visit Status		X	X	X	X	X	X	X	X	X	X	X	X			
23	Screen Fail		As Needed												As Needed		
24	Conclusion of Study Participation				As Needed												
25	Neurological/ Motor Assessments																
26	Participant Motor Function Questionnaire			P		P		P		P		P		P			
27	Freezing and Falls			X		X		X		X		X		X			
28	Neurological Examination			I		I		I		I		I		I			
29	Initiation of Dopaminergic Therapy				X	X	X	X	X	X	X	X	X				
30	MDS-UPDRS Part Ia, Part III Treatment Determination/ Motor Exam/Hoehn & Yahr,d			I	I	I	I	I	I	I	I	I	I				
31	MDS-UPDRS Part Ib and Part II			P	P	P	P	P	P	P	P	P	P	P			
32	Modified Schwab & England ADL			I	I	I	I	I	I	I	I	I	I	I			
33	MDS-UPDRS Part IVd				I	I	I	I	I	I	I	I	I	I			
34	MDS-UPDRS Repeat Part					I		I		I		I		I			



		III/Hoehn & Yahra,d															
3 5	Features of Parkinsonism			I	I	I	I	I	I	I	I	I	I	I			
3 6	Other Clinical Features			I	I	I	I	I	I	I	I	I	I	I			
3 7	Primary Research Diagnosis			I	I	I	I	I	I	I	I	I	I	I			
3 8	Clinical Diagnosis			X	X	X	X	X	X	X	X	X	X	X			
3 9	Non-Motor Assessments																
4 0	Olfactory Testing (UPSIT)					P		P		P		P		P			
4 1	REM Sleep Behavior Disorder Screening Questionnaire			P		P		P		P		P		P			
4 2	Epworth Sleepiness Scale			P		P		P		P		P		P			
4 3	SCOPA-AUT			P		P		P		P		P		P			
4 4	Participant Global Impression (PGI)			P		P		P		P		P		P			
4 5	Neuro QoL			P		P		P		P		P		P			
4 6	Cognitive Assessments																
4 7	Montreal Cognitive Assessment*			X		X		X		X		X		X			
4 8	Clock Drawing*			X		X		X		X		X		X			
4 9	Lexical Fluency*			X		X		X		X		X		X			
5 0	Hopkins Verbal Learning Test-Revised*			X		X		X		X		X		X			



5 1	Benton Judgment of Line Orientation*			X		X		X		X		X		X		
5 2	Modified Semantic Fluency (Animals only)*			X		X		X		X		X		X		
5 3	Letter Number <i>Sequencing</i> *			X		X		X		X		X		X		
5 4	Symbol Digit Modalities Test*			X		X		X		X		X		X		
5 5	Trail Making Test (A and B)*			X		X		X		X		X		X		
5 6	Modified Boston Naming Test*			X		X		X		X		X		X		
5 7	Cognitive Change			P		P		P		P		P		P		
5 8	Cognitive Categorization			I		I		I		I		I		I		
5 9	Neuropsychol ogical Assessments															
6 0	State-Trait Anxiety Inventory for Adults			P		P		P		P		P		P		
6 1	Geriatric Depression Scale			P		P		P		P		P		P		
6 2	QUIP			P		P		P		P		P		P		
6 3	Clinical and Biological Samples															
6 4	Clinical Lab blood sample		X	Xj												
6 5	Research Biosamples		XM	X		X		X		X		X		X		
6 6	Lumbar puncture			X		X		X		X		X		X		



67	Skin biopsy		X	Xj				X				X			Xc	
68	Imaging Activities															
69	Pregnancy Test (prior to tracer injection), if applicable		X			X		X				X				
70	Dopamine Imaging		X			X		X				X				
71	MRI			X		X		X				X				
72	Safety and General Health															
73	#Adverse Events		X	X												
74	Adverse Event Telephone Assessment		X	X												
75	Current Medical Conditions Review		As Needed	X	X	X	X	X	X	X	X	X	X	X		
76	Concomitant Medication Review		X	X	X	X	X	X	X	X	X	X	X	X		
77	Participation in Other Studies		As Needed													
78	LEDD Concomitant Medication Log		As Needed													
79	Surgery for PD Log				As Needed											
80	Report of Pregnancy		As Needed													

I = Investigator (or trained designee) completed assessment.

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R0X Visits are conducted remotely (e.g., video, audio).

a = rigidity and postural stability not assessed for Out of Clinic or Remote "R" visits; Part III and Hoehn & Yahr not done if phone/audio only.

b = Transition Activities completed for all previously enrolled participants transitioning into new database at first visit only.

c = Previously enrolled participants transitioning to new database may be asked to have skin biopsy. If not done at first visit, may be conducted at a subsequent in person visit.

d = Investigator or Coordinator may complete treatment and timing information.

f = Skin biopsy will be conducted at participating sites.

g= Performed for sites recruiting participants not referred from Screening Core.

H= see protocol section 11 for modification of visit schedule due to New Clinical Diagnosis, Need for PD Therapy or withdrawal from study.

j = Do not collect at Baseline Visit if collected at Screening Visit.

M = Whole blood sample collection is optional at Screening Visit, as feasible by the site.

*Completed on paper source first, and then scores entered into EDC.

**Window of +45 days either side of Target Visit Date.

Adverse events collected only day of and 2-3 business days post Dopamine Imaging, LP and skin biopsy per protocol.

As needed assessments can be located under the Event Driven category in EDC.

APPENDIX 4 – Healthy Control Schedule Years 6+

		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
	1	Visit Number		R1 2	V 1 3	R1 3	V 1 4	R1 4	V 1 5	R1 5	V 1 6	R1 6	V 1 7	R1 7	V 1 8	R1 8	V 1 9	R1 9	Annual	Remote	bT ransi tion Ac tivity es	HE vent Drive n Modi fied Visit
	2	Assessment	** Time point	6 months	7 2 (Y 6)	7 8 months	8 4 (Y 7)	9 0 months	9 6 (Y 8)	10 2 months	1 0 8 (Y 9)	11 4 months	1 2 0 (Y 1 0)	12 6 months	1 3 2 (Y 1 1)	13 8 months	1 4 4 (Y 1 2)	15 0 months	15 6 + (Y 1 3 +)	16 2 months +	-- -	---
	3	Consent Activities																				



	4	Docu menta tion of Inform ed Conse nt		A s N ee d e d																X
	5	Contin uing Conse nt			X		X		X		X		X		X		X			
	6	Conse nt to share conta ct inform ation		A s N ee d e d																
	7	Resea rch Proxy Desig nation		A s N ee d e d																
	8	Inform ed Conse nt Tracki ng Log		A s N ee d e d																
	9	Gener al Activit ies																		
	10	Demo graphi cs																	X	
	11	Family Histor y																	X	
	12	Socio- Econo mics																	X	
	13	Progra m Asses sment		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
	14	Vital Signs + Height			X		X		X		X		X		X		X			



		and Weight																				
	15	Visit Status		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	16	Screen Fail																		As Needed		
	17	Conclusion of Study Participation		As Needed																		
	18	Neurological/Motor Assessments																				
	19	Participant Motor Function Questionnaire			P		P		P		P		P		P		P					
	20	Freezing and Falls			X		X		X		X		X		X		X					
	21	Neurological Examination			I		I		I		I		I		I		I					
	22	MDS-UPDRS Part Ia, Part III Treatment Determination/Motor Exam/Hoehn & Yahra		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I			



	23	MDS-UPDRS Part Ib and Part II		P	P	P	P	P	P	P	P	P	P	P	P	P	P	P	P	P		
	24	Modified Schwab & England ADL		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	25	Features of Parkinsonism		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	26	Other Clinical Features		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	27	Primary Research Diagnosis		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	28	Clinical Diagnosis		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	29	Non-Motor Assessments																				
	30	REM Sleep Behavior Disorder Screening Questionnaire		P		P		P		P		P		P		P		P				
	31	Epworth Sleepiness Scale			P		P		P		P		P		P		P		P			
	32	SCOPA-AUT			P		P		P		P		P		P		P		P			



	33	Neuro QoL			P		P		P		P		P		P		P			
	34	Cognitive Assessments																		
	35	Montreal Cognitive Assessment*			X		X		X		X		X		X		X			
	36	Clock Drawing*			X		X		X		X		X		X		X			
	37	Lexical Fluency*			X		X		X		X		X		X		X			
	38	Hopkins Verbal Learning Test-Revised*			X		X		X		X		X		X		X			
	39	Benton Judgment of Line Orientation*			X		X		X		X		X		X		X			
	40	Modified Semantic Fluency (Animals only)*			X		X		X		X		X		X		X			
	41	Letter Number Sequencing*			X		X		X		X		X		X		X			
	42	Symbol Digit			X		X		X		X		X		X		X			



		Modali ties Test*																				
	4 3	Trail Makin g Test (A and B)*			X		X		X		X		X		X		X					
	4 4	Modifi ed Bosto n Namin g Test*			X		X		X		X		X		X		X					
	4 5	Cognit ive Chang e			P		P		P		P		P		P		P					
	4 6	Cognit ive Categ orizati on			I		I		I		I		I		I		I					
	4 7	Neuro psych ologic al Asses sment s																				
	4 8	State- Trait Anxiet y Invent ory for Adults			P		P		P		P		P		P		P					
	4 9	Geriat ric Depre ssion Scale			P		P		P		P		P		P		P					
	5 0	QUIP			P		P		P		P		P		P		P					
	5 1	Clinic al and Biolog ical Sampl es																				
	5 2	Resea rch Biosa			X		X		X		X		X		X		X					



		mples (blood + urine)																				
	5 3	Lumb ar punct ure					X		X		X		X		X		X					
	5 4	Skin biopsy d																		Xc		
	5 5	Safety and Gener al Healt h																				
	5 6	#Adve rse Events					X				X				X				X			
	5 7	Adver se Event Teleph one Asses sment					X				X				X				X			
	5 8	Curre nt Medic al Condit ions Revie w		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	5 9	Conco mitant Medic ation Revie w		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	6 0	Partici pation in Other Studie s		A s N ee ded																		

[illegible]

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APPENDIX 5 – PD / PD Genetic Schedule Years 6+

		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
1	Visit Number			R12	V13	R13	V14	R14	V15	R15	V16	R16	V17	R17	V18	R18	V19	R19	Annual	Remote	Transportation Activities	Event Drive Modified Visit
2	Assessment	** Time point	66 months	72 (Y6)	78 months	84 (Y7)	90 months	96 (Y8)	102 months	108 (Y9)	114 months	120 (Y10)	126 months	132 (Y11)	138 months	144 (Y12)	150 months	156 + (Y13+)	162 months +	--	---	



	3	Conse nt Activit ies																				
	4	Docu menta tion of Inform ed Conse nt		A s N ee d e d															X			
	5	Contin uing Conse nt			X		X		X		X		X		X		X					
	6	Conse nt to share contac t inform ation		A s N ee d e d																		
	7	Resea rch Proxy Desig nation		A s N ee d e d																		
	8	Inform ed Conse nt Tracki ng Log		A s N ee d e d																		
	9	Gener al Activit ies																				
	10	Demo graphi cs																		X		
	11	Family Histor y																		X		
	12	Socio- Econo mics																		X		



	13	Program Assessment		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	14	Clinical Global Impression (CGI)			I		I		I		I		I		I		I		I			
	15	Vital Signs + Height and Weight			X		X		X		X		X		X		X		X			
	16	Visit Status		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	17	Screen Fail																		As Needed		
	18	Conclusion of Study Participation		As Needed																		
	19	Neurological/Motor Assessments																				
	20	Participant Motor Function Questionnaire		P		P		P		P		P		P		P		P				
	21	Freezing and Falls			X		X		X		X		X		X		X		X			
	22	Neurological Examination			I		I		I		I		I		I		I		I			



	23	Initiation of Dopaminergic Therapy		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	24	MDS-UPDRS Part Ia, Part III Treatment Determination/Motor Exam/Hoehn & Yahr, d		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I			
	25	MDS-UPDRS Part Ib and Part II			P	P	P	P	P	P	P	P	P	P	P	P	P	P	P	P		
	26	Modified Schwab & England ADL		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	27	MDS-UPDRS Part IVd		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	28	MDS-UPDRS Repeat Part III/Hoehn & Yahr, d		I		I		I		I		I		I		I		I				
	29	Features of Parkinsonism		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	30	Other Clinical		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		



		Featur es																					
	3 1	Primar y Resea rch Diagn osis		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I			
	3 2	Clinica l Diagn osis		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
	3 3	Non- Motor Asses sment s																					
	3 4	REM Sleep Behavi or Disord er Scree ning Questi onnair e			P		P		P		P		P		P		P						
	3 5	Epwor th Sleepi ness Scale			P		P		P		P		P		P		P		P				
	3 6	SCOP A-AUT			P		P		P		P		P		P		P		P				
	3 7	Partici pant Global Impres sion (PGI)			P		P		P		P		P		P		P		P				
	3 8	Neuro QoL			P		P		P		P		P		P		P		P				
	3 9	Cognit ive Asses sment s																					
	4 0	Montr eal Cognit ive Asses			X		X		X		X		X		X		X		X				



		sment *																				
	4 1	Clock Drawi ng*			X		X		X		X		X		X		X					
	4 2	Lexica l Fluenc y*			X		X		X		X		X		X		X					
	4 3	Hopki ns Verbal Learni ng Test- Revise d*			X		X		X		X		X		X		X					
	4 4	Bento n Judg ment of Line Orient ation*			X		X		X		X		X		X		X					
	4 5	Modifi ed Sema ntic Fluenc y (Anim als only)*		X		X		X		X		X		X		X						
	4 6	Letter Numb er Seque ncing*			X		X		X		X		X		X		X					
	4 7	Symb ol Digit Modali ties Test*			X		X		X		X		X		X		X					
	4 8	Trail Makin g Test (A and B)*			X		X		X		X		X		X		X					
	4 9	Modifi ed Bosto n Namin			X		X		X		X		X		X		X					



		g Test*																				
	50	Cognitive Change			P		P		P		P		P		P		P					
	51	Cognitive Categorization			I		I		I		I		I		I		I					
	52	Neuropsychological Assessments																				
	53	State-Trait Anxiety Inventory for Adults			P		P		P		P		P		P		P					
	54	Geriatric Depression Scale			P		P		P		P		P		P		P					
	55	QUIP			P		P		P		P		P		P		P					
	56	Clinical and Biological Samples																				
	57	Research Biosamples (blood + urine)			X		X		X		X		X		X		X					
	58	Lumbar puncture					X				X				X				X			
	59	Skin biopsy f																			X C	



	60	Safety and General Health																				
	61	#Adverse Events					X			X				X				X				
	62	Adverse Event Telephone Assessment					X			X				X				X				
	63	Current Medical Conditions Review		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	64	Concomitant Medication Review		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	65	Participation in Other Studies		As Needed																		
	66	LEDD Concomitant Medication Log		As Needed																		
	67	Surgery for PD Log		As Needed																		
	68	Report of Pregnancy		As Needed																		

[illegible]

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Adverse events collected only day of and 2-3 business days post LP and skin biopsy per protocol.

As needed assessments can be located under the Event Driven category in EDC.

APPENDIX 6 - Prodromal Schedule Years 6 +

[illegible]



		tion of Informed Conse nt		N ee d e d																		
	5	Contin uing Conse nt			X		X		X		X		X		X		X		X			
	6	Conse nt to share contac t inform ation		A s N ee d e d																		
	7	Resea rch Proxy Desig nation		A s N ee d e d																		
	8	Inform ed Conse nt Tracki ng Log																				
	9	Gener al Activit ies																				
	10	Demo graphi cs																		X		
	11	Family Histor y																		X		
	12	Socio- Econo mics																		X		
	13	Progra m Asses sment		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
	14	Clinica l Global Impres sion (CGI)			I		I		I		I		I		I		I		I			



	15	Vital Signs + Height and Weight			X		X		X		X		X		X		X					
	16	Visit Status		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
	17	Screen Fail																		As Needed		
	18	Conclusion of Study Participation		As Needed																		
	19	Neurological/Motor Assessments																				
	20	Participant Motor Function Questionnaire		P		P		P		P		P		P		P						
	21	Freezing and Falls			X		X		X		X		X		X		X					
	22	Neurological Examination			I		I		I		I		I		I		I					
	23	Initiation of Dopaminergic Therapy		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X			
	24	MDS-UPDRS Part		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I				



		la, Part III Treat ment Deter minati on/Mo tor Exam/ Hoehn & Yahra, d																				
	2 5	MDS- UPDR S Part Ib and Part II		P	P	P	P	P	P	P	P	P	P	P	P	P	P	P	P	P		
	2 6	Modifi ed Schwa b & Englan d ADL		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	2 7	MDS- UPDR S Part IVd		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	2 8	MDS- UPDR S Repea t Part III/Hoe hn & Yahra, d		I		I		I		I		I		I		I		I				
	2 9	Featur es of Parkin sonis m		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	3 0	Other Clinica l Featur es		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	3 1	Primar y Resea rch Diagn osis		I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I	I		
	3 2	Clinica l		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		



		Diagnosis																				
	33	Non-Motor Assessments																				
	34	REM Sleep Behavior Disorder Screening Questionnaire			P		P		P		P		P		P		P					
	35	Epworth Sleepiness Scale			P		P		P		P		P		P		P		P			
	36	SCOPA-AUT			P		P		P		P		P		P		P		P			
	37	Participant Global Impression (PGI)			P		P		P		P		P		P		P		P			
	38	Neuro QoL			P		P		P		P		P		P		P		P			
	39	Cognitive Assessments																				
	40	Montreal Cognitive Assessment*			X		X		X		X		X		X		X		X			
	41	Clock Drawing*			X		X		X		X		X		X		X		X			
	42	Lexical Fluency*			X		X		X		X		X		X		X		X			



	43	Hopkins Verbal Learning Test- Revised*			X		X		X		X		X		X		X			
	44	Benton Judgment of Line Orientation*			X		X		X		X		X		X		X			
	45	Modified Semantic Fluency (Animals only)*		X		X		X		X		X		X		X				
	46	Letter Number Sequencing*			X		X		X		X		X		X		X			
	47	Symbol Digit Modalities Test*			X		X		X		X		X		X		X			
	48	Trail Making Test (A and B)*			X		X		X		X		X		X		X			
	49	Modified Boston Naming Test*			X		X		X		X		X		X		X			
	50	Cognitive Change			P		P		P		P		P		P		P			
	51	Cognitive Cate			I		I		I		I		I		I		I			



		orization																				
52	Neuro-psychological Assessments																					
53	State-Trait Anxiety Inventory for Adults			P		P		P		P		P		P		P		P				
54	Geriatric Depression Scale			P		P		P		P		P		P		P		P				
55	QUIP			P		P		P		P		P		P		P		P				
56	Clinical and Biological Samples																					
57	Research Biosamples (blood + urine)			X		X		X		X		X		X		X		X				
58	Lumbar puncture					X				X				X				X				
59	Skin biopsy																			X	C	
60	Safety and General Health																					
61	#Adverse Events					X				X				X				X				



	62	Adverse Event Telephone Assessment						X					X						X			
	63	Current Medical Conditions Review		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	64	Concomitant Medication Review		X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X		
	65	Participation in Other Studies		A s N e e d e d																		
	66	LEDD Concomitant Medication Log		A s N e e d e d																		
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PURPOSE OF STUDY

- 1 The Parkinson Progression Marker Initiative (PPMI) is a longitudinal, observational, multicenter natural history study to assess progression of clinical features, digital outcomes, and imaging, biologic and genetic markers of Parkinson's disease (PD) progression in study participants with manifest PD, prodromal PD, and healthy controls. The overall goal of PPMI is to identify markers of disease progression for use in clinical trials of therapies to reduce progression of PD disability.
- 2 PPMI is a broad program, expanding the goals of the original PPMI study, that includes this PPMI Clinical protocol, as well as other program initiatives such as the PPMI Remote, PPMI Digital App and PPMI Online protocols. Participants in PPMI may be asked to be enrolled in other PPMI program protocols, but depending on their method of recruitment, participants may be enrolled sequentially in varying order, as appropriate. PPMI participants may also be asked to participate in additional PPMI program initiatives (as they are developed), which may only involve a subset of PPMI participants based on their cohort designation and/or site location.
- 3 **Primary Objectives of PPMI Clinical:**
The primary objectives include to:
 - 3.1 Establish standardized protocols for acquisition, transfer and analysis of clinical, digital, imaging, biologic and genetic data that can be used by the PD research community. This protocol will build on the existing PPMI infrastructure.
 - 3.2 Develop a comprehensive and uniformly acquired clinical, digital and imaging dataset and repository of biological and genetic samples that would be available to the PD research community to test hypotheses of the underlying molecular pathobiology of PD, enable modeling of PD progression to identify clinical and/or data driven PD progression sub-sets, and inform studies testing PD therapeutics (for examples, clinical trials targeting synuclein, LRRK2, GBA as well as other targets).
 - 3.3 Use clinical and biological data to estimate the mean rates of change and the variability around the mean of clinical, digital, imaging, biological and genetic outcomes in study participants with PD diagnosis (including patients with a LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and individuals with prodromal Parkinson's disease (including individuals with REM sleep behavior disorder (RBD)), olfactory loss, LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without dopamine transporter (DAT) deficit and in healthy participants.
 - 3.4 Confirm existing and identify novel clinical, digital, imaging, biologic and genetic PD progression markers to identify quantitative individual measures or combinations of measures that demonstrate optimum interval change in study participants with PD diagnosis (including patients with a LRRK2, GBA, SNCA or rare genetic variants (such as

Parkin or Pink1)) and individuals with prodromal Parkinson's disease (including individuals with RBD, olfactory loss, a LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit in comparison to healthy controls or in sub-sets of study participants with PD diagnosis or prodromal PD defined by baseline assessments, progression milestones and/or rate of clinical, digital, imaging, biologic and genetic change, or other measures.

- 3.5 Evaluate the probability of phenoconversion to PD for individuals with prodromal PD enrolled in the prodromal cohorts (including individuals with RBD, olfactory loss, a LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit).

4 **Secondary Objectives**

The secondary objectives include the following:

- 4.1 Conduct preliminary clinical, digital, imaging, biologic and genetic markers verification studies on promising biological markers in study subsets and/or using stored collected samples.
- 4.2 Compare biomarker signatures for study participants with PD diagnosis without known genetic variant to those with known genetic variant (including LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1)).
- 4.3 Compare biomarker signatures in study participants with PD diagnosis to individuals with prodromal PD enrolled in the prodromal cohorts (including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit).
- 4.4 Compare biomarker signature between prodromal PD subsets including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit.
- 4.5 Develop and test risk paradigms to establish the sequence of early prodromal events (clinical, imaging, biologic changes) in individuals with prodromal PD enrolled in the prodromal cohorts (including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit) including testing early signal of risk in the associated PPMI Online and PPMI Remote studies.

STUDY OUTCOMES

- 5 Key PPMI outcomes will be longitudinal change in clinical (motor and non-motor) scales (e.g., MDS-UPDRS, MoCA), Patient Reported Outcomes (PROs) and digital outcomes, quantitative imaging (DAT, SBR, and MRI midbrain melanin), and biologic measures of synuclein, lysosomal function, and analytes related to neurodegeneration (e.g., neurofilament light chain inflammation).

- 6 Detailed demographic, clinical and biological data will be collected to test specific hypotheses in subsequent analyses and other associated protocols. In addition, data quality metrics including compliance with study procedures, quality metrics related to biosamples and completeness of data collection will be monitored on an ongoing basis.

BACKGROUND AND RATIONALE

7 **Background for PPMI Clinical**

- 7.1 The defining motor features of Parkinson's disease (PD) are characterized by their insidious onset and inexorable but heterogenous progression. Reliable and well-validated biomarkers to monitor PD progression would dramatically accelerate research into both PD etiology and therapeutics. Much progress has been made in identifying and assessing PD biomarkers, and yet no fully validated biomarker or set of biomarkers for PD are currently available.
- 7.2 Nonetheless, there is increasing evidence that assessment of clinical, digital, imaging outcomes and measurement of analytes from blood, cerebral spinal fluid (CSF), urine, and tissue has already begun to provide crucial tools for PD drug development and for understanding the pathobiology of PD (1-3).
- 7.3 During the past decade, the PPMI study has established a longitudinal clinical and biomarker data resource on approximately 1,500 participants including cohorts with idiopathic PD, PD with genetic variants, prodromal participants and healthy controls. PPMI is an observational, international, multi-center study designed to establish biomarker defined cohorts and to identify PD progression biomarkers to improve understanding of disease etiology and course and to provide critical tools to enhance the likelihood of success of PD therapeutic trials (ClinicalTrials.gov NCT01141023). PPMI is a collaborative effort of PD researchers with expertise in biomarker development, PD clinical study design and implementation, bioinformatics, statistics, and data management. The study is a publicprivate partnership of academic researchers, the Michael J Fox Foundation (MJFF) and pharmaceutical, biotech, government and foundation partners.
- 7.4 The overall goal of PPMI is to examine clinical, imaging, genetic and biospecimen PD progression markers that individually or in combination will rapidly demonstrate interval change in PD patients in comparison to Healthy Controls (HC) or in sub-sets of PD patients defined by baseline assessments, genetic variants, progression milestones and/or rate of clinical, imaging or biospecimen change. PPMI has established standardized protocols for acquisition, transfer and analysis of clinical, imaging, genetic and biospecimen data that can be used by the PD research community. Importantly PPMI is committed to data and biospecimen sharing. PPMI data are available to the research community on the PPMI website as it is collected and there have been more than ten million downloads of PPMI data (as of May 2022). PPMI biospecimens are available by application to the PPMI Biospecimen Review Committee with more than three hundred

requests, as of May 2022. All PPMI standardized protocols and PPMI data are available at <http://www.ppmi-info.org> (4, 5).

- 7.5 PPMI is the most comprehensive natural history dataset of PD participants and serves, according to its original purpose, as a key resource for drug development and understanding of the clinical and biological features of PD progression. The study has demonstrated the enormous value of comprehensive, longitudinal within subject biomarker assessment. PPMI has developed a robust study infrastructure with well-developed study leadership and governance, committed enrolling sites, and expert study cores (data, imaging, biorepositories, bioinformatics, genetics) to ensure the ongoing collection and analysis of study data. The study has developed and expanded methods to enroll biomarker defined cohorts requiring dopamine imaging deficit for inclusion in the PD cohort, piloted methods to establish prodromal cohorts of hyposmic and RBD participants and has established a novel centralized strategy to enroll participants with PD genetic mutations (6-8). PPMI has also demonstrated the feasibility and safety of multicenter longitudinal collection of CSF (9).
- 7.6 PPMI longitudinal data has and continues to be acquired and reported to inform clinical trials for PD. PPMI data has detailed the progression of the MDS-UPDRS (both off and on PD meds) and cognitive and behavioral outcomes enabling sample size estimation to detect changes in progression due to therapeutic intervention (10-12), Predictors of key PPMI outcomes and of need for PD therapy have also been evaluated (13, 14). Progression of dopamine transporter (DAT) imaging has demonstrated a robust reduction in PD participants and PPMI DAT eligibility data has contributed to its qualification by the EMA as an enrichment biomarker (11, 15, 16). Longitudinal analysis of synuclein, amyloid and tau from CSF has demonstrated a persistent reduction in synuclein and tau in PD participants compared to healthy controls but without significant progression (17-19). Several other analytes/pathways have also been assessed including neurofilament light chain, catecholamines, and the lysosomal pathway providing additional data (PPMI website) showing modest changes with progression with reports in press.
- 7.7 A key strength of PPMI is the within participant design so that multiple biomarkers are assessed in each participant. This strategy has enabled studies identifying PD subsets based several biomarkers and has allowed various multi-modal biomarkers to be compared. There have been several efforts to develop biomarker derived subsets of PD to define risk and/or disease progression to further understand the heterogeneity of PD. Combining genetics with clinical and imaging biomarkers has resulted in a genetic risk score that may be helpful in predicting PD and has led to additional studies combining whole genome sequencing, RNA transcriptomics and clinical and imaging markers (20). DAT and MRI imaging have been combined with clinical outcomes to explore PD subsets and PD pathobiology (21-23). Combining clinical motor outcomes with behavioral and cognitive outcomes has provided insight to the timing of non-motor PD disability and utility of current non-motor scales to track early disease (24-27). Examining biomarkers in genetic cohorts has further identified specific imaging and biologic markers that may distinguish those cohorts (28, 29). PPMI offers the opportunity to examine multiple biomarker data streams and analysis strategies for these data including unbiased data

analysis approaches have identified possible PD subsets and predictors of progression (30).

7.8 PPMI has also developed prodromal cohorts defined by olfaction, RBD or genetic variant to pilot longitudinal assessment of biomarker prodromal PD and establish biomarkers that predict the development of motor parkinsonism. Overwhelming scientific data have demonstrated that the molecular pathology of Parkinson's disease begins long in advance of clinical symptoms. Longitudinal densely phenotyped follow-up of individuals at high risk to develop PD would enable both understanding of the progression of disease during the prodromal period and could ultimately lead to the testing of therapies that might prevent the onset of manifest motor PD. The Movement Disorder Society proposed criteria to define prodromal PD for research (31, 32). Prior studies including the Parkinson Associated Risk Syndrome (PARS) study and long-term RBD studies have further demonstrated that prodromal PD participants with hyposmia or RBD with abnormal imaging have high risk of the onset of motor PD within 3-5 years (33, 34). Pilot prodromal data from the ongoing PPMI study has shown that about 35% of hyposmic and RBD participants with abnormal DAT converted to motor PD within four years. Data from the unaffected LRRK2 and GBA mutation carriers shows less than 10 % of participants with abnormal DAT, but mild increase in motor and non-motor features compared to healthy subjects (35).

7.9 PPMI has been committed to open-source data with rapid sharing of all PPMI data to the PD community (36) . This data resource now includes clinical (motor and non-motor), digital, imaging, and genetic data plus a robust biorepository including blood, CSF, urine and induced pluripotent stem (IPS) cells.

8 **Rationale for PPMI Clinical**

8.1 While the PPMI study has made substantial progress as outlined above, the program offers the opportunity to expand and transform the use of biomarkers to test hypotheses of the underlying molecular pathobiology of PD, enable modeling of PD progression to identify clinical and/or biologic data driven PD progression sub-sets and inform studies testing PD therapeutics including clinical trials targeting synuclein, LRRK2, GBA and other targets.

8.2 There is a consensus that a new PPMI cohort is necessary to further develop and validate biomarkers for PD progression and prodromal PD to enable therapeutic development. Further advances in molecular genetics, neurobiology, imaging technology, wearable sensor and remote assessment technology and radiochemistry have provided new tools that may be useful in identification of such biomarkers for further studies of therapies that may slow or prevent PD disability.

8.3 The goal of this new initiative is to extend the current PPMI consortium of academic centers, PD foundations, pharmaceutical and biotech companies. government agencies, and active study participants, to establish and validate markers of PD progression across the spectrum of disease from prodromal PD to more advanced disease.



- 8.4 In PPMI Clinical, established tools will also be further validated and new technologies including neuroimaging modalities, digital biomarkers, biochemical markers in the CSF and plasma, genetic markers, and early clinical disease markers will be investigated. We will continue to standardize biomarker acquisition and assessment and to establish well-defined quantitative biomarker outcomes that are consistent among many research sites and laboratories.
- 8.5 Core laboratories for biomarker analysis will be used for uniformity of analyses and quality control. A major focus of this biomarker consortium will be to extend PPMI infrastructure to new biomarkers and new cohorts, particularly those with prodromal PD. Longitudinal data will include Participant Reported Outcomes with an emphasis on outcomes that reflect participant function throughout the course of PD.
- 8.6 This approach to biomarker development is ambitious and requires collaboration among many in academics, industry, government, and the public sector. However, PPMI has demonstrated that such an approach is feasible. PPMI has been successful in providing open-source data and fostering effective collaboration.
- 8.7 The unmet need for therapeutics that slow or prevent the disability of PD coupled with the enormous value of biomarkers to enable and accelerate clinical studies highlights the need for this strategy to identify and validate biomarkers of PD progression throughout the course of disease.

STUDY DESIGN

- 9 PPMI Clinical is a longitudinal, observational, multi-center natural history study to assess progression of clinical features, digital outcomes, and imaging, biologic and genetic markers of PD progression in study participants with PD diagnosis (including patients with LRRK2, GBA, SNCA or rare genetic variants and individuals with prodromal Parkinson's disease (including individuals with RBD, olfactory deficit, LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit and healthy controls.
- 10 All participants will be comprehensively assessed for a minimum of 5 years. Participants will undergo clinical (motor, neuropsychiatric and cognitive) and imaging assessments, and will donate biosamples including blood, urine, and cerebral spinal fluid (CSF) and skin biopsy. Participants will also be asked to respond to targeted questionnaires and provide digital data as part of the PPMI Online and PPMI Digital App protocols (under separate consent).

STUDY COHORTS

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Note

In PPMI Clinical up to 4,500 participants will be enrolled and followed longitudinally from approximately 50-55 international clinical sites across a variety of cohorts as described below (note that the cohorts enrolled might vary across sites).

Current PPMI participants: All participants across all cohorts enrolled in PPMI will be eligible to continue participation in PPMI. Participants may belong to one of the following PPMI cohorts (healthy control, PD, PD with LRRK2 variant, PD with GBA variant, PD with SNCA mutation or rare genetic variants, prodromal with LRRK2 variant, prodromal with GBA variant, prodromal with SNCA or rare genetic variants, prodromal with hyposmia, prodromal with RBD). These participants will be invited to join the cohort in PPMI that matches their original designation (n=up to 1150).

- 12 Healthy controls (n=up to 120).
- 13 Parkinson's disease (PD) participants who are recently diagnosed and untreated (n= up to 700).
- 14 PD manifesting gene carriers with a LRRK2 or GBA variant (n=up to 250), SNCA or other rare genetic variant (such as Parkin or Pink1) (n= up to 60).
- 15 Prodromal PD (at risk for PD) (n=up to 2220)
 - 15.1 Hyposmia (generalized risk) (n= up to 1260)
 - 15.2 RBD (n= up to 500)
 - 15.3 LRRK2 variant (n= up to 200)
 - 15.4 GBA variant (n= up to 200)
 - 15.5 SNCA or other rare genetic variants (n= up to 60)

RECRUITMENT METHODS

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Note

Participants in PPMI Clinical with PD and healthy controls will largely be identified by study sites. Prodromal participants, as well as participants having PD with a genetic variant, will largely be identified through other resources and will be referred to PPMI clinical sites to be considered for enrollment in this study; however, these participants may also be recruited directly through the clinical site. Recruitment of these cohorts is described further below.

Prodromal Participants – Path to PPMI Clinical

- 16.1 Potential prodromal participants may be eligible to participate in PPMI Clinical based on participation in the PPMI Online protocol (information from online questionnaires assessing general health and risk of PD), PPMI Remote (information from additional remote testing including olfactory testing), or directly from a clinical site based on known PD risk, such as possible REM sleep behavior disorder (RBD) or known genetic variants associated with increased PD risk.
- 16.2 Eligible individuals from PPMI Remote will be referred to PPMI clinical sites to consent to participation in PPMI Clinical and undergo their prodromal SPECT imaging screening visit.
- 16.3 Individuals identified by a clinical site with known risks may also be considered to consent to participation in PPMI Clinical and undergo a Prodromal Screening visit.
- 16.4 All prodromal participants will be screened for hyposmia via a smell identification test such as the University of Pennsylvania Smell Identification Test (UPSIT), either in PPMI Remote or, if recruited by the clinical site, as part of the PPMI Clinical Prodromal Screening visit, which may also include blood and skin samples.

17 Identifying Participants with Genetic Variants

Identifying participants with genetic variants will require targeted recruitment.

- 17.1 Prodromal participants with a genetic variant will be identified in large part through a centralized recruitment process, as well as from clinical sites.
 - **Centralized Recruitment – Indiana University:** Individuals who do not have Parkinson Disease will be centrally screened by Indiana University. Potential participants maybe identified by targeted campaigns. These potential participants will undergo remote screening and will undergo genetic testing (saliva kits) and/or telegenetic counseling (under separate consent). These participants will be eligible to participate in other PPMI program protocols, such as PPMI Online, PPMI Remote, PPMI Digital App, and subsequently, if eligible would be referred to a study site and further assessed for eligibility to enroll in PPMI Clinical.

- **Clinical Site Recruitment of Unaffected Persons with Genetic Variants:** Individuals who do not have Parkinson Disease may also be recruited from the clinical sites. In general, these sites will have prior access to participants and families with genetic variants due to site interest and/or specific geographic location. Individuals being considered for participation in PPMI Clinical at clinical sites who may have these genetic variants, but have not previously undergone genetic testing, will undergo evaluation including genetic testing and genetic counseling (under separate consent from the PPMI Clinical study). Existing documentation of lab results will be provided to the PPMI Screening Core for further review and confirmation of eligibility for inclusion in PPMI Clinical. The outcome of this review will be provided to the clinical site, and if approved, these participants would not require additional genetic testing. Individuals with identified LRRK2, GBA, SNCA, or rare genetic variants that meet genetic criteria would be further assessed by the referring site team for eligibility to enroll in PPMI Clinical.

17.2 **Parkinson's Disease participants** with a genetic variant will be identified in large part through a centralized recruitment process, as well as from clinical sites.

- **Centralized Recruitment – Indiana University:** Individuals with PD with an increased risk for carrying LRRK2 and/or GBA variants will be centrally screened by Indiana University. Potential participants maybe identified by targeted campaigns. These potential participants will undergo remote genetic testing (saliva kits) and/or telegenetic counseling (under separate consent). If found to carry a pathogenic PD variant, these participants will be referred to a study site and further assessed for eligibility to enroll in PPMI Clinical. If found to not carry a pathogenic PD variant, these participants will be referred to PPMI Online if not already enrolled.
- **Clinical Site Recruitment:** Individuals with PD and LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1 variants), may also be recruited from the clinical sites. In general, these sites will have prior access to participants and families with genetic variants due to site experience and/or specific geographic location. Individuals being considered for participation in PPMI Clinical at clinical sites who may have these genetic variants, but have not previously undergone genetic testing, will undergo evaluation including genetic testing and genetic counseling (under separate consent from the PPMI Clinical study). Documentation of lab results will be provided to the PPMI Screening Core for further review and confirmation of eligibility for inclusion in PPMI Clinical. The outcome of this review will be provided to the clinical site, and if approved, these participants would not require additional genetic testing. Individuals with identified LRRK2, GBA, SNCA, or rare genetic variants that meet genetic criteria would be further assessed by the referring site team for eligibility to enroll in PPMI Clinical.

PARTICIPANT ELIGIBILITY

18 Healthy Controls (HC)-Inclusion Criteria

Note

Active Healthy controls previously enrolled in PPMI do not require re-assessment of eligibility criteria listed below for enrollment in PPMI Clinical. Active participants do need to be able to provide informed consent for PPMI Clinical participation (includes use of a designated research proxy).

- 18.1 Male or female age 30 years or older at Screening visit.
- 18.2 Individuals taking any of the following drugs: alpha methyldopa, methylphenidate, amphetamine derivatives or modafinil, must be willing and medically able to hold the medication for at least 5 half-lives before SPECT imaging.
- 18.3 Confirmation that participant is eligible based on Screening SPECT imaging.
- 18.4 Able to provide informed consent.
- 18.5 Either is male, or is female and meets additional criteria below, as applicable:
- Female of childbearing potential who is not pregnant, lactating, or planning pregnancy during the study and has a negative pregnancy test on day of Screening SPECT imaging test prior to injection of DaTscan™.
- 19 Healthy Controls (HC)-Exclusion Criteria
- 19.1 First degree relative with PD (i.e., biologic parent, sibling, child).
- 19.2 Current or active clinically significant neurological disorder (in the opinion of the Investigator).
- 19.3 Previously obtained MRI scan with evidence of clinically significant neurological disorder (in the opinion of the Investigator).
- 19.4 Received any of the following drugs: dopamine receptor blockers (neuroleptics), metoclopramide and reserpine within 6 months of Screening visit.
- 19.5 Current treatment with anticoagulants (e.g., coumadin, heparin, oral thrombin inhibitors) that might preclude safe completion of the lumbar puncture.

- 19.6 Condition that precludes the safe performance of routine lumbar puncture, such as prohibitive lumbar spinal disease, bleeding diathesis, or clinically significant coagulopathy or thrombocytopenia.
- 19.7 Any other medical or psychiatric condition or lab abnormality, which in the opinion of the investigator might preclude participation.
- 19.8 Any other reason that, in the opinion of the investigator, would render the participant unsuitable for study enrollment.

20 Parkinson's Disease (PD)-Inclusion Criteria

Note

Active PD participants previously enrolled in PPMI do not require re-assessment of eligibility criteria listed below for enrollment in PPMI Clinical. Active participants do need to be able to provide informed consent for PPMI Clinical participation (includes use of a designated research proxy).

- 20.1 Male or female age 30 years or older at Screening Visit.
- 20.2 A diagnosis of Parkinson's disease for 2 years or less at Screening Visit.
- 20.3 Not expected to require PD medication within at least 6 months from Baseline.
- 20.4 Patients must have at least two of the following: resting tremor, bradykinesia, rigidity (must have either resting tremor or bradykinesia); OR either asymmetric resting tremor or asymmetric bradykinesia.
- 20.5 Hoehn and Yahr stage I or II at Baseline.
- 20.6 Individuals taking any of the following drugs: alpha methyl dopa, methylphenidate, amphetamine derivatives or modafinil, must be willing and medically able to hold the medication for at least 5 half-lives before SPECT imaging.
- 20.7 Confirmation that participant is eligible based on Screening SPECT imaging.
- 20.8 Able to provide informed consent.

20.9 Either is male, or is female and meets additional criteria below, as applicable:

- Female of childbearing potential who is not pregnant, lactating, or planning pregnancy during the study and has a negative pregnancy test on day of Screening SPECT imaging test prior to injection of DaTscanTM.

21 **Parkinson's Disease (PD)-Exclusion Criteria**

21.1 Currently taking levodopa, dopamine agonists, MAO-B inhibitors, amantadine or another PD medication, except for low-dose treatment of restless leg syndrome (with permission of medical monitor).

21.2 Has taken levodopa, dopamine agonists, MAO-B inhibitors or amantadine within 60 days of Baseline visit, except for low-dose treatment of restless leg syndrome (with permission of medical monitor).

21.3 Has taken levodopa or dopamine agonists prior to Baseline visit for more than a total of 90 days.

21.4 Atypical PD syndromes due to either drugs (e.g., metoclopramide, flunarizine, neuroleptics) or metabolic disorders (e.g., Wilson's disease), encephalitis, or degenerative diseases (e.g., progressive supranuclear palsy).

21.5 A clinical diagnosis of dementia as determined by the investigator.

21.6 Previously obtained MRI scan with evidence of clinically significant neurological disorder (in the opinion of the Investigator).

21.7 Received any of the following drugs: dopamine receptor blockers (neuroleptics), metoclopramide and reserpine within 6 months of Screening visit.

21.8 Current treatment with anticoagulants (e.g., coumadin, heparin, oral thrombin inhibitors) that might preclude safe completion of the lumbar puncture.

21.9 Condition that precludes the safe performance of routine lumbar puncture, such as prohibitive lumbar spinal disease, bleeding diathesis, or clinically significant coagulopathy or thrombocytopenia.

21.10 Any other medical or psychiatric condition or lab abnormality, which in the opinion of the investigator might preclude participation.

21.11 Any other reason that, in the opinion of the investigator, would render the participant unsuitable for study enrollment.



22 Parkinson's Disease (PD) with LRRK2 or GBA variant-Inclusion Criteria

Note

Active PD participants previously enrolled in PPMI do not require re-assessment of eligibility criteria listed below for enrollment in PPMI Clinical. Active participants do need to be able to provide informed consent for PPMI Clinical participation (includes use of a designated research proxy).

- 22.1 Male or female age 30 years or older at Screening Visit.
- 22.2 A diagnosis of Parkinson's disease for 2 years or less at Screening Visit.
- 22.3 Patients must have at least two of the following: resting tremor, bradykinesia, rigidity (must have either resting tremor or bradykinesia); OR either asymmetric resting tremor or asymmetric bradykinesia.
- 22.4 Hoehn and Yahr stage I or II at Baseline.
- 22.5 Confirmation of causative LRRK2 or GBA (willingness to undergo genetic testing as part of genetic screening and be informed of genetic testing results, or approved documentation of prior genetic testing results).
- 22.6 Individuals taking any of the following drugs: alpha methyldopa, methylphenidate, amphetamine derivatives or modafinil, must be willing and medically able to hold the medication for at least 5 half-lives before SPECT imaging.
- 22.7 Confirmation that participant is eligible based on Screening SPECT imaging.
- 22.8 Able to provide informed consent.
- 22.9 Either is male, or is female and meets additional criteria below, as applicable
 - Female of childbearing potential who is not pregnant, lactating, or planning pregnancy during the study and has a negative pregnancy test on day of Screening SPECT imaging test prior to injection of DaTscan™.

23 Parkinson's Disease (PD) with LRRK2 or GBA variant-Exclusion Criteria

- 23.1 Received any of the following drugs: dopamine receptor blockers (neuroleptics), metoclopramide and reserpine within 6 months of Screening visit.
- 23.2 Current treatment with anticoagulants (e.g., coumadin, heparin) that might preclude safe completion of the lumbar puncture.
- 23.3 Condition that precludes the safe performance of routine lumbar puncture, such as prohibitive lumbar spinal disease, bleeding diathesis, or clinically significant coagulopathy or thrombocytopenia.
- 23.4 Any other medical or psychiatric condition or lab abnormality, which in the opinion of the investigator might preclude participation.
- 23.5 Any other reason that, in the opinion of the investigator, would render the participant unsuitable for study enrollment.

24 **Parkinson's Disease (PD) with SNCA or rare genetic variant (such as Parkin or Pink1))-Inclusion Criteria**

Note

Active PD participants previously enrolled in PPMI do not require re-assessment of eligibility criteria listed below for enrollment in PPMI clinical. Active participants do need to be able to provide informed consent for PPMI Clinical participation (includes use of a designated research proxy).

- 24.1 Male or female age 30 years or older at Screening Visit.
- 24.2 Parkinson's disease diagnosis at Screening Visit.
- 24.3 Patients must have at least two of the following: resting tremor, bradykinesia, rigidity (must have either resting tremor or bradykinesia); OR either asymmetric resting tremor or asymmetric bradykinesia.
- 24.4 Hoehn and Yahr stage I, II, or III at Baseline.
- 24.5 Confirmation of causative SNCA or rare genetic variant (such as Parkin or Pink1) (willingness to undergo genetic testing as part of genetic screening and be informed of genetic testing results, or approved documentation of prior genetic testing results).

- 24.6 Individuals taking any of the following drugs: alpha methyldopa, methylphenidate, amphetamine derivatives or modafinil, must be willing and medically able to hold the medication for at least 5 half-lives before SPECT imaging.
- 24.7 Confirmation that participant is eligible based on Screening SPECT imaging.
- 24.8 Able to provide informed consent.
- 24.9 Either is male, or is female and meets additional criteria below, as applicable:
- Female of childbearing potential who is not pregnant, lactating, or planning pregnancy during the study and has a negative pregnancy test on day of Screening SPECT imaging test prior to injection of DaTscanTM.
- 25 **Parkinson's Disease (PD) with SNCA or rare genetic variant (such as Parkin or Pink1))-Exclusion Criteria**
- 25.1 Received any of the following drugs: dopamine receptor blockers (neuroleptics), metoclopramide and reserpine within 6 months of Screening visit.
- 25.2 Current treatment with anticoagulants (e.g., coumadin, heparin) that might preclude safe completion of the lumbar puncture.
- 25.3 Condition that precludes the safe performance of routine lumbar puncture, such as prohibitive lumbar spinal disease, bleeding diathesis, or clinically significant coagulopathy or thrombocytopenia.
- 25.4 Any other medical or psychiatric condition or lab abnormality, which in the opinion of the investigator might preclude participation.
- 25.5 Any other reason that, in the opinion of the investigator, would render the participant unsuitable for study enrollment.
- 26 Prodromal

Note

Active Prodromal participants previously enrolled in PPMI do not require reassessment of eligibility criteria listed below for enrollment in PPMI Clinical. Active participants do need to be able to provide informed consent for PPMI Clinical participation (includes use of a designated research proxy).

The specific predictive eligibility criteria for participants recruited through PPMI Remote to advance to PPMI Clinical will be iteratively optimized based on data collected from

these studies.

27 **Inclusion criteria (Prodromal)**

For Screening:

- 27.1 Confirmation that participant is eligible based on centrally determined predictive criteria including the University of Pennsylvania Smell Identification Test (UPSIT).
- For participants in PPMI Remote, referral to the clinical site confirms predictive eligibility.
 - For participants identified by the clinical site, predictive criteria are based on generalized risk such as first degree biologic relative, known risk of PD including RBD, or known genetic variants associated with PD risk.
 1. Additionally, confirmation of UPSIT eligibility during the Screening visit prior to SPECT Imaging.
- 27.2 Male or female age 60 years or older (except age 30 years or older for SNCA, or rare genetic variants (such as Parkin or Pink1) participants).
- 27.3 Individuals taking any of the following drugs: alpha methyldopa, methylphenidate, amphetamine derivatives or modafinil, must be willing and medically able to hold the medication for at least 5 half-lives before SPECT imaging.
- 27.4 Able to provide informed consent.
- 27.5 Either is male, or is female and meets additional criteria below, as applicable:
- Female of childbearing potential who is not pregnant, lactating, or planning pregnancy during the study and has a negative pregnancy test on day of Screening SPECT imaging test prior to injection of DaTscanTM.
- 27.6 For continuation to Baseline visit and ongoing follow-up:
Confirmation that participant is eligible based on *Screening SPECT imaging.

Note

*Screening SPECT Imaging eligibility:

Based on the results of the SPECT imaging test, Prodromal participants eligible to continue their participation in PPMI Clinical will be asked to return for their PPMI Clinical baseline visit. Neither the participant nor the site investigator will be made aware of the participant's DAT status during the study.

- It is anticipated that approximately 6,000 participants will complete a screening visit to undergo DAT imaging. Approximately 2,000 participants will be eligible to continue their participation in PPMI Clinical (those not eligible to proceed will remain in PPMI Remote, as applicable).
- All participants with DAT deficit will be eligible to continue their participation in PPMI Clinical. It is estimated that about 75% of eligible participants will have a DAT deficit (defined by a hybrid of visual assessment and quantitative striatal specific binding analysis).
- Some participants without DAT deficit will also be eligible to continue their participation in PPMI Clinical. These participants will be chosen based on DAT binding that is reduced from age expected but it not outside the normal range and/or from individuals with high-risk of PD including RBD, LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1) that do not demonstrate DAT deficit. It is estimated that about 25% of eligible participants will not have a DAT deficit.
- It is anticipated that approximately 30% of the PPMI Clinical prodromal participants with DAT deficit will phenoconvert to motor parkinsonism during a 3 to 5-year follow-up.

28 Exclusion Criteria (Prodromal)

- 28.1 Clinical diagnosis of PD at screening, other parkinsonism, or dementia.
- 28.2 Received any of the following drugs: dopamine receptor blockers (neuroleptics), metoclopramide and reserpine within 6 months of Baseline Visit.
- 28.3 Current treatment with anticoagulants (e.g. coumadin, heparin) that might preclude safe completion of the lumbar puncture.
- 28.4 Condition that precludes the safe performance of routine lumbar puncture, such as prohibitive lumbar spinal disease, bleeding diathesis, or clinically significant coagulopathy or thrombocytopenia.
- 28.5 Any other medical or psychiatric condition or lab abnormality, which in the opinion of the investigator might preclude participation.
- 28.6 Currently taking levodopa, dopamine agonists, MAO-B inhibitors, amantadine or another PD medication, except for low-dose treatment of restless leg syndrome (with permission

of medical monitor).

- 28.7 Has taken levodopa, dopamine agonists, MAO-B inhibitors or amantadine within 60 days of Baseline visit. except for low-dose treatment of restless leg syndrome (with permission of medical monitor).
- 28.8 Any other reason that, in the opinion of the investigator, would render the participant unsuitable for study enrollment.

OBTAINING INFORMED CONSENT

29 Consent Process

- 29.1 The procedures and requirements of the study, together with any potential hazards/risks, and the freedom to withdraw from participation in the study at any time, will be explained to each potential participant as part of the consent process.
- 29.2 The consent process will take place in a space that allows for privacy and confidentiality and should allow for enough time for the individual to consider participation and ask any questions. Consent will be obtained by the study Investigator or delegated study staff, as applicable.
- 29.3 Sites may obtain informed consent remotely (e.g., by telephone or videoconference) after the consent form has been provided to the potential participant (e.g., mail, email, e-sign document), as deemed appropriate by the Investigator.
- 29.4 If the individual agrees to participation, the signed consent will be returned to the site (e.g., mail, email, e-sign document) for signature by the person obtaining consent before any research procedures begin.
- 29.5 Each participant will sign such an informed consent to document agreement to participate in the study, as well as to document HIPAA authorization and compliance with GDPR regulation, as applicable. The signed informed consent may be uploaded to a secure portal for remote monitoring.
- 29.6 It is the responsibility of the Investigator (or as delegated to the person obtaining consent) to make sure that the participant understands what she/he is agreeing to and that informed consent is obtained before the participant is involved in any protocol-defined procedures, including screening procedures.
- 29.7 Each participant will be provided a copy of the consent form(s). There will be two consents for the PPMI Clinical prodromal cohort as they will initially consent to the Screening visit and then, if eligible, will consent to the longitudinal PPMI Clinical procedures. In addition to obtaining initial consent to participate, Investigators must ensure ongoing consent as part of this longitudinal study (for example, documentation at an annual study visit that the participant continues to understand the procedures and requirements of the study).

30 Identification of Research Proxy

- 30.1 There is the potential for development of cognitive impairment in participants over the course of study participation. Therefore, in accordance with good clinical practices in ensuring each participant's ability to give ongoing informed consent, identification of a research proxy will enable continued participation for participants whose ability to consent becomes compromised.
- 30.2 Identification of a research proxy through use of the Advance Directive for Clinical Research Participation form, enables participants to clarify their preferences, thus guiding the substitute decision maker and the Investigator. It is noted that the accepted term and/or required directive for a designated substitute decision maker (also known as a Legally Authorized Representative/LAR) may vary on a country/state/provincial basis.
- 30.3 During the initial consent process, or at any time during assessment of ongoing consent as applicable, a participant may identify a substitute decision maker who will be permitted to carry out the participant's wishes regarding continued participation (or not) in PPMI Clinical should the participant lose the ability to make his or her own decision. The site Investigator will exercise clinical judgment and ascertain a participant's ability to continue giving informed consent. This ascertainment may include a discussion including review of the study purpose, differences between research and clinical assessments, and the risks of study participation.
- 30.4 If deemed necessary by the Investigator, the participant will be approached about contacting the person(s) named in the advance directive while the participant is still capable of discussing the need to invoke the research proxy. Should the Investigator deem it necessary to invoke the LAR, the designated individual will be contacted by telephone, if not already present at the study visit, to discuss the next steps for determining the participant's continuing participation.
- 30.5 Designation of a LAR is voluntary; thus, identification of a substitute decision maker is not required to participate in PPMI. However, if in the absence of a substitute decision maker the Investigator deems a participant no longer able to provide ongoing consent, the participant will be withdrawn from the study.
- 30.6 Documentation is required for completion of the Advance Directive, routine review of the participant's continuing ability to give informed consent at each visit, any discussion with the participant's substitute decision maker, as well as documentation of informed consent (and assent of the participant) should a LAR be invoked.

31 **Permission to be Contacted for Follow Up of Persons with Neurologic Disease**

- 31.1 The Follow Up of Persons with Neurologic Disease (FOUND) study (Caroline Tanner MD, Principal Investigator, University of California-San Francisco (UCSF)) provides a parallel, centralized system to prospectively collect vital status and disease progression

information from persons with parkinsonism, related disorders and healthy controls who are participating in clinical research studies.

31.2 Participation in FOUND complements in-person assessment, enables continuity of follow up of individuals who complete or withdraw from a study, and may also aid in PPMI study retention. Participation in FOUND will enable centralized contact both during and after completion of PPMI, using convenient methods for systematic data collection (e.g., regular mail, telephone, internet contacts).

31.3 During the initial consent process for PPMI Clinical, and as needed at subsequent follow up visit, participants will be asked if their contact information may be shared with the FOUND study team at UCSF. The participant's decision will be documented in the PPMI informed consent and the PPMI database. If a participant agrees, UCSF will be notified and will proceed with contacting the individual to invite participation into FOUND. UCSF will share with the referring sites their participants' status in FOUND at regular intervals.

31.4 PPMI participants who have incomplete enrollment in FOUND will be asked by the site to discuss this with the participant to identify if there are any issues impeding enrollment and address any such issues. The data collected from the FOUND study will be uploaded into the PPMI data repository at the Laboratory of Neuro Imaging (LONI), The Institute for Neuroimaging and Informatics in Los Angeles, California, at regular intervals.

32 Permission to be Contacted from Pathology Core

32.1 Post-mortem analysis of brain tissue is pivotal to Parkinson's disease research, allowing researchers to examine changes noted in the post-mortem brain tissue and correlate it with changes in neuropsychological, imaging, and biomic data collected throughout the PPMI Clinical study. However, there is limited availability to this type of tissue, leading to organized efforts to facilitate brain donation planning through the PPMI Pathology Core.

32.2 The PPMI Pathology Core is a collaboration between Indiana University and Stanford University. Indiana University is responsible for coordinating all logistics up-to death, including obtaining consent, identifying a removal specialist, coordinating with clinical sites, and interfacing with the decedent's family. Indiana University also ensures the removal specialist follows outlined removal and shipping guidelines to transfer the whole brain to the Stanford team, while a small tissue sample is shipped to Indiana University for DNA extraction. Stanford University is responsible for post-mortem activities including receiving specimens, specimen dissection and preparation for embedding and processing, performing neuropathological evaluation of tissue, coordinating clinicopathological case conferences (CPCs), and long-term storage of brain tissue samples.

32.3 For clinical sites based in the United States, site coordinators will discuss the PPMI Pathology Core with participants and provide them with an information at initial consent to PPMI, or subsequent study visits as applicable. Participants will be asked to provide permission to allow their contact information to be transferred to the Pathology Core



team. Participants may also contact the team at Indiana University directly to learn more about enrollment.

- 32.4 The Pathology Core team will contact participants to discuss tissue donation further and answer questions. If participants are agreeable to continue with donation planning, they will first be asked to sign a consent form that reflects their intent to donate brain tissue and other relevant tissue upon death. This consent is approved by the Indiana University IRB. After consent, the participant will provide additional information to help with their local planning and coordination.
- 32.5 The Indiana and Stanford University teams will also provide support to international PPMI sites that are interested in contributing to PPMI brain tissue donation activities. Stanford University will work with neuropathologists at local sites to ensure the harmonization of brain tissue collection and processing across all PPMI sites. Indiana University will help establish workflows from consent to donation and ensure regulatory considerations are met for participant inclusion in the PPMI Pathology Core.
- 32.6 The data collected across the Pathology Core will be collated by the team at Indiana University and transferred to the PPMI data repository at the Laboratory for Neuro Imaging (LONI), The Institute for Neuroimaging and Informatics in Los Angeles, California, at regular intervals. It is possible that collected tissues may be distributed to approved researchers for future analysis.

PARTICIPANT INFORMATION AND STUDY ID

33 **Participant Profile Information:**

When a participant provides consent to participate, the following participant identifiers may be collected in the electronic database capture (EDC) system: full name (first name, middle name, last name), home address, phone number, email address, date of birth, sex, city/municipality of birth and country of birth.

34 **Participant ID Number:**

A Participant ID number will be assigned to all PPMI Clinical participants, if not previously assigned under another PPMI program protocol. Active PPMI participants transitioning into this PPMI protocol will keep their previously assigned PPMI ID number, while newly enrolled participants will be assigned a new 6-digit ID number, generated automatically by EDC. The PPMI Participant ID number will be used to identify a participant on all study related documentation (e.g., clinical database, biological specimens).

STUDY VISIT PROCEDURES

- 35 Screening, Baseline and Annual study visits may occur over the period of more than one day due to the complexity of the visits and resources required at the site. The date each assessment was completed will be captured within the EDC system and will therefore reflect whether a visit required a duration of more than one day to complete.
- 36 The Baseline visit should be completed within 60 days of the Screening visit. Follow up 6 month and annual visits should be completed with +45 days of the target visit date. Out of window visits will not be considered a protocol deviation but will be monitored throughout the study for each site.
- 37 Assessments that require completion by the Site Investigator (or trained designee) include the following (it is the goal of the study that the clinical assessments be conducted by the same individual throughout the study):
- Informed Consent
 - Research proxy designation
 - Review Inclusion/Exclusion criteria
 - Neurological Examination
 - MDS-UPDRS Parts Ia, III, IV, MDS-UPDRS Repeat Part III, Hoehn & Yahr
 - Modified Schwab & England ADL
 - Features of Parkinsonism
 - Other Clinical Features
 - Primary Research Diagnosis
 - Cognitive Categorization
 - Clinical Global Impression (CGI)

38 **Out of Clinic Annual Visits:**

To enable continued involvement of participants in the PPMI Clinical study and enhance study retention, participants who are unable to attend annual visits in person due to reasons such as participant burden, advanced disease, and/or participant safety (e.g., such as COVID- 19), are eligible for assessment out of the clinic. Options for Out of Clinic visits include virtual visits by video link (i.e., telemedicine), enhanced telephone, phone/audio only, or inhome assessments in which PPMI site staff travel to the participant's home. Sites should complete as many assessments indicated for the scheduled annual visit as is feasible, based on the type of out of clinic visit conducted and ability to do an assessment (i.e., videoconference versus in-home or audio only). Sites will record visit status, indicating if the visit was OOC, in the EDC.

39 **Active PPMI Transitioning Participants**

Note

Refer to the PPMI Schedule of Activities for the applicable cohort to determine the activities to be conducted at each visit. Participants will continue from their original PPMI study schedule into the next planned study visit under this protocol. Note that additional "Transition Activities" must be completed as outlined in the Schedule of Activities for all participants transitioning into this protocol at their first in-person visit.

Active participants previously enrolled in PPMI will not require a Screening or Baseline visit. Participants who agree to continue participation and transition into PPMI Clinical will enroll into PPMI and complete the next planned study visit based on the last completed visit in PPMI (or based on timing of site activation and participant's visit schedule). The process of obtaining informed consent, including an explanation of study activities, is described in the cohort visits below and will be conducted prior to completing any PPMI Clinical study activities. Participants transitioned into PPMI Clinical will be followed for a minimum of 5 years, either in person or remotely according to the respective cohort's schedule of activities. Active PPMI participants choosing not to continue into PPMI Clinical protocol will be tagged by the site as "Complete".

40 Healthy Control, PD and PD Genetic Cohort Visits

40.1 HC, PD and PD Genetic Screening Visit

Note

Refer to the PPMI Schedule of Activities for the applicable cohort to determine the activities to be conducted at the Screening visit.

All newly enrolled participants in these cohorts will undergo a screening evaluation prior to the Baseline visit. The Screening visit will take about 8 hours to complete (could occur over more than one day).

During the informed consent process the following activities will also be described, as applicable:

- Discuss start of or ongoing participation in other relevant PPMI Program protocols (e.g., such as PPMI Online, PPMI Digital)
- An explanation of FOUND in PPMI will be given and participants will be asked permission to have their contact information sent to the FOUND coordinating site at UCSF so that UCSF study team can contact them about their interest in participation. Participants may take time to review and complete at a subsequent visit.



- An explanation of the PPMI Pathology Core will be given and participants will be asked permission to have their contact information sent to the Pathology Core study team. Alternatively, participants may take time to review and complete this information at a subsequent visit.
- An explanation of the purpose and procedures for identification of a substitute decision maker (or research proxy) will be given. Participants may take time to review and complete at a subsequent visit.

40.2 HC, PD and PD Genetic Baseline Visit

Note

Refer to the PPMI Schedule of Activities for the applicable cohort to determine the activities to be conducted at the Baseline visit.

Once all study procedures are completed, the Investigator (or designee) must ensure that the participant meets eligibility for the relevant cohort in order to continue with longitudinal follow up visits. This Baseline visit is anticipated to take 8 hours (could occur over more than one day).

40.3 HC, PD and PD Genetic Follow up Visits

Note

Refer to the PPMI Schedule of Activities for the applicable cohort to determine the activities to be conducted at follow up visits.

After the Baseline visit is completed, participants will be evaluated in clinic every 6 months for the first two years. Annual visits are anticipated to take about 6-8 hours (could occur over more than one day), while the 6-month in clinic visits will take about 2-4 hours. After two years, all participants will continue to be evaluated every 6 months remotely and annually in the clinic, for a minimum of 5 years of longitudinal follow up visits. Options for Remote 6-month visits include virtual visits by video link or telemedicine, or phone/audio only. The remote 6-month visits will take about 1-2 hours. Sites should complete as many assessments at the Remote ("R") visit as is feasible, based on how the visit is conducted (i.e., videoconference versus in-home or audio only) and ability to do an assessment.

41 Prodromal Cohort Visits

41.1 Prodromal Screening Visit

Note

Refer to the PPMI Schedule of Activities for the Prodromal cohort to determine the activities to be conducted at the Screening visit.

Participants eligible for PPMI Clinical Prodromal cohort will undergo a screening evaluation, which may include clinical labs, research blood sample, skin biopsy and completion of an UPSIT if recruited directly by the site, as well as SPECT imaging for all participants prior to the Baseline visit. Informed consent for the Prodromal Screening visit will be obtained by the site and eligibility to complete the SPECT imaging confirmed. Sites must have confirmation that a participant is eligible based on the SPECT imaging prior to proceeding to the Baseline visit, at which time the participant will be fully consented to the PPMI Clinical protocol. The Screening Visit will take about 6-8 hours to complete (could occur over more than one day).

41.2 Prodromal Baseline Visit

Note

Refer to the PPMI Schedule of Activities for the Prodromal cohort to determine the activities to be conducted at the Baseline visit.

Prodromal cohort participants eligible to proceed to the Baseline visit will first consent to the full PPMI Clinical study. During the informed consent process the following activities will also be described, as applicable:

- Discuss start of or ongoing participation in other relevant PPMI Program protocols (e.g., such as PPMI Online, PPMI Digital)
- An explanation of FOUND in PPMI will be given and participants will be asked permission to have their contact information sent to the FOUND coordinating site at UCSF so that UCSF study team can contact them about their interest in participation. Participants may take time to review and complete at a subsequent visit.
- An explanation of the PPMI Pathology Core will be given and participants will be asked permission to have their contact information sent to the Pathology Core study team. Alternatively, participants may take time to review and complete this information at a subsequent visit.
- An explanation of the purpose and procedures for identification of a substitute decision maker (or research proxy) will be given. Participants may take time to review and complete at a subsequent visit.

Once all Baseline study procedures are completed, the Investigator (or designee) must ensure that the participant meets eligibility in order to continue with longitudinal follow up visits. This Baseline visit is anticipated to take about 6-8 hours (could occur over more than one day).

41.3 Prodromal Follow Up Visits

Note

Refer to the PPMI Schedule of Activities for the Prodromal cohort to determine the activities to be conducted at the follow up visits.



After the Baseline visit is completed, participants will be evaluated in clinic annually and remotely every 6 months, for a minimum of 5 years of longitudinal follow up visits. Annual visits are anticipated to take about 6-8 hours (could occur over more than one day). Options for Remote 6-month visits include virtual visits by video link or telemedicine, or phone/audio only. The remote 6-month visits will take about 1-2 hours. Sites should complete as many assessments at the Remote ("R") visit as is feasible, based on how the visit is conducted (i.e., videoconference versus in-home or audio only) and ability to do an assessment.

EVENT DRIVEN MODIFICATION OF SCHEDULED VISITS

42 New Clinical Diagnosis

If, after enrollment (i.e., Baseline visit), a PD or Prodromal participant receives a new clinical diagnosis of PD or other neurodegenerative disorder (meaning the clinical diagnosis occurred outside the context of the PPMI research assessments), attempt to schedule an in person PPMI visit as soon as possible after the site is made aware of the new clinical diagnosis.

A participant may be seen up to 3 months in advance of the next scheduled target visit date. If the next scheduled visit is a 'R' visit, then the 'R' visit should be modified to an in person visit.

42.1 Participant assessed at an annual visit – follow schedule of activities for the respective annual visit.

- If Year 3, annual visit SPECT imaging and MRI should be conducted.

42.2 Participant is assessed in person at an 'R' visit.

In addition to the activities already conducted at an 'R' visit, the following other assessments should be administered, including:

- Note: Imaging, skin biopsy and lumbar puncture not required
- Research biosamples (blood and urine) collection
- Vital signs
- All Neurological/Motor Assessments, including a full UPDRS
- All Non-Motor Assessments, including UPSIT at Year 1, 2 and Year 4 for Prodromal cohort only
- All Cognitive Assessments
- All Neuropsychological Assessments
- Safety and General Health assessments, as applicable

Following completion of an in person visit as described above, resume participant's regular visit schedule.

43 Need for PD Therapy

Note

The site should document any new or changed PD medication dose and start date on the LEDD Medication Log.

If the site becomes aware that a PD or Prodromal participant is planning to or has already started PD medication, attempt to schedule an in person PPMI visit as soon as possible after the site is made aware. It is preferable to have the participant seen prior to starting medication. If a participant is not assessed in person prior to starting PD medication, conduct the next study visit per the regular visit schedule (participant should hold medication prior to conducting the visit).

A participant may be seen up to 3 months in advance of the next scheduled target visit date of either an annual visit or remote 'R' visit.

43.1 Participant assessed at an annual visit – follow schedule of activities for the annual visit; no additional activities are required.

43.2 Participant is assessed in person at an 'R' visit – In addition to the activities already conducted at 'R' visit, the following other assessments should be administered, including:

- Note: Imaging, skin biopsy and lumbar puncture not required
- Research biosamples (blood and urine) collection
- Vital signs
- All Neurological/Motor Assessments, including a full UPDRS
- All Non-Motor Assessments (Note: UPSIT not required)
- All Cognitive Assessments
- All Neuropsychological Assessments
- Safety and General Health assessments, as applicable

44 Withdrawal from the Study

If a participant withdraws from the study and does not want to be seen for any more assessments, complete the Conclusion of Participation assessment under the last completed visit.

44.1 Withdrawal During Scheduled Visit

If a participant withdraws from the study during a scheduled annual visit, proceed with the visit as outlined in the schedule of activities and complete the Conclusion of Participation assessment.

44.2 Withdrawal Outside Scheduled Visit

If a participant withdraws from the study outside of a scheduled visit, determine whether the participant agrees to be seen for one more in-person visit, or prefers to conduct a



remote 'R' visit. Complete the Conclusion of Participation assessment once the visit is completed.

1. Withdrawal at remote 'R' visit:

- Conduct study procedures for that visit schedule of activities

2. Withdrawal at in person annual visit – conduct study procedures for that annual visit schedule of activities, with the following exceptions, as feasible:

- Research biosamples(blood and urine) collection – only if not done in the last 3 months
- Lumbar puncture for collection of CSF – only if not done in the last 3 months
- Skin biopsy – only if not done in the last 6 months, up to Year 4
- MRI (Prodromal and PD cohorts only)– only if not done in the last 6 months, up to Year 4
- SPECT Imaging (Prodromal and PD cohorts only) – only if not done in the last 6 months, up to Year 4

CLINICAL ASSESSMENTS

- 45 Refer to the PPMI Assessments and eCRF Completion Manual for a detailed description of the clinical assessments and instructions for administration.

SAFETY ASSESSMENTS

46 **Medical Conditions Review, Physical and Neurological Examination**

Medical and family history, as well as a complete physical and neurological exam will be captured on all participants according to the schedule of activities. A neurological exam will also be conducted annually, as well as at the last completed visit if possible.

47 **Vital Signs/Weight/Height**

Pulse rate (supine and standing), blood pressure (supine and standing), and temperature will be determined at every in person visit. The supine blood pressure and pulse rate will be determined after 1-3 minutes of quiet rest and the standing pressure and rate will be determined after 1-3 minutes in the standing position. Weight and height will also be collected at baseline and annually.

48 **Clinical Laboratory Tests**

- 48.1 Routine clinical safety laboratory tests indicated in the table below will be performed at the first visit only for new enrollments (i.e., Screening for PD and Healthy Controls, Screening or Baseline for Prodromal participants). A central laboratory will be implemented in order to conduct identical analysis methods and utilize consistent normal

ranges and thus common interpretation of laboratory changes. If not stated otherwise, venous whole blood will be collected in blood collection tubes (vacutainers).

- 48.2 All samples for laboratory analysis must be collected, prepared, labelled, and shipped according to the laboratory's requirement as detailed in the lab manual. The total amount of blood needed for the clinical safety lab tests will be no more than 5 ml. No more than 60 ml will be drawn at either the Screening or Baseline visit, including both safety and research blood samples.
- 48.3 The coagulation panel (PT/PTT) will be collected and shipped by all sites to the central lab for analysis for the first visit only for new enrollments (i.e., Screening for PD and Healthy Controls, Screening or Baseline for Prodromal participants). Sites have the option, per clinical practice, to collect an additional blood sample to evaluate coagulation results prior to the conduct of post Baseline Visit lumbar puncture assessments. The sample should be sent to a local lab facility for analysis.
- 48.4 Results will be evaluated to determine, in the opinion of the Investigator, whether there are any issues that may preclude conduct of the follow up lumbar puncture. Results should be maintained as part of the participant's study documents; however, will not be included in the study database.

A	B
CENTRAL LAB TESTS	
METABOLIC PANEL	COMPLETE BLOOD COUNT
Sodium (Na)	White Blood Cell Count (WBC)
Potassium (K)	Red Blood Cell Count (RBC)
Chloride (Cl)	Hemoglobin (Hb)
Carbon Dioxide (CO ₂)	Hematocrit (HCT)
Blood Urea Nitrogen (BUN)	Platelet Count (PLT)
Glucose	
Calcium (Ca)	
Creatinine (Crn)	
Bilirubin Total	
Albumin	
Total Protein	
Aspartate aminotransferase (AST)	
Alanine aminotransferase (ALT)	



A	B
Alkaline Phosphatase (ALKP)	
Uric Acid	
Prothrombin time (PT) – Screening Only	
Partial Thromboplastin Time (PTT) – Screening Only	

BIOLOGIC RESEARCH SAMPLING

49

Note

Refer to the PPMI Biologics Manual for the detailed description of the biologic samples collected and processing instructions.

Blood Samples

- 49.1 Whole blood (about 10 ml), serum (about 30 ml) and plasma (about 10 ml) will be collected to conduct proteomic, metabolomic, genetic and other research analyses. No more than 60 ml will be drawn at any visit, including both clinical safety labs and research blood samples.
- 49.2 **It is strongly advised that the research blood samples are collected in a fasted state (i.e., minimum of 8 hours since last meal/food intake) to ensure the quality of samples for future analyses.** If fasting is not possible, then participants should be advised to eat a low lipid diet. All research samples will be sent to a central biorepository to be stored indefinitely for research purposes. Samples will be made available to researchers to conduct analyses related to PD and other disorders. Participants will not receive any individual results of research analysis or testing conducted on the biologic samples.

50 Urine

- 50.1 Urine (about 10-15 ml) will be collected to conduct analyte analyses.

51 Lumbar Puncture / Cerebral Spinal Fluid (CSF)

- 51.1 The lumbar puncture (LP) is performed by the site investigator, or another qualified clinician appointed by the investigator. A lumbar puncture for the collection of 15-20 ml of CSF will be conducted for all participants per the visit schedule unless there is

evidence of clinically significant coagulopathy or thrombocytopenia that would interfere with the safe conduct of the procedure. In addition, a LP may be conducted under fluoroscopy as deemed necessary by the site investigator or as per site's standard practice. The first 2 ml of CSF will be processed for cell count, protein, and glucose levels.

- 51.2 Participants will be closely monitored the day of the procedure for adverse events. Participants will also be contacted by phone 2 to 3 [business/working] days following an LP to assess for any adverse events. The CSF samples will be sent to a central biorepository to be stored indefinitely for research purposes. The CSF samples will be made available to researchers to conduct analyses related to PD and other disorders.

52 Skin Biopsy

- 52.1 The skin biopsy is performed by the site investigator, or another qualified clinician appointed by the investigator. Skin punch biopsy will be performed under local anesthesia (lidocaine) in the posterior neck according to the Schedule of Activities. Up to two punches will be completed and the skin samples will be processed as described in the PPMI Biologics Manual and shipped to the central biorepository for storage and analysis.
- 52.2 Remaining samples may be used to evaluate other proteins, analytes or potential biomarkers. Participants will not receive any individual results of analysis or testing conducted on the skin samples. Participants will be monitored the day of the procedure for adverse events. Participants will also be contacted by phone 2 to 3 [business/working] days following a skin biopsy to assess for any adverse events.

IMAGING

53 Dopamine Transporter SPECT Imaging

Note

Refer to the PPMI SPECT Technical Operations manual for a detailed description of the SPECT imaging procedures.

- 53.1 Participants will undergo dopamine transporter imaging to measure dopamine transporter binding using single photon emission computed tomography (SPECT). All new participants will undergo SPECT imaging at Screening. All new and transitioning participants will also undergo follow up SPECT imaging as indicated in their cohort visit schedule.

Note

To lessen participant burden, a participant's previously acquired SPECT imaging may be used in place of a newly acquired scan as long as the previous scan was acquired within 6 months of the study scheduled SPECT, it meets protocol acquisition standards, and passes QC requirements for the research study analysis.

- 53.2 The SPECT imaging procedure will be performed at the individual sites using DaTscan™ to target the dopamine transporter and all imaging data will be submitted for analysis to the Imaging core. SPECT imaging eligibility will be determined using pre-specified imaging cut-offs. SPECT eligibility result will be made available to the participant's clinical site.
- 53.3 Women of childbearing potential must have a urine (or serum if required by the site) pregnancy test prior to injection of DaTscan™. The result must be confirmed as negative prior to proceeding with the injection. Before the DaTscan™ injection, participants will be pre-treated with stable iodine (10 drops of a saturated solution of potassium iodide) to reduce the uptake of DaTscan™ by the thyroid. If the participant is allergic to iodine, then potassium perchlorate 400 mg) can be substituted for potassium iodide. Participants will be injected with up to 5 mCi of DaTscan™. Within a 4-hour (+/- 30 minute) window following the injection, participants will undergo SPECT imaging for approximately 30 minutes (or up to an hour if the participant moved during scanning).
- 53.4 Participants will be monitored by study personnel for adverse events on the day that a dopamine transporter SPECT scan is obtained. Participants will also be contacted by phone 2 to 3 [business/working] days following the injection/scan to assess adverse events.
- 53.5 The product used to complete the dopamine transporter SPECT scans is being used "offlabel" in the PPMI Clinical study. The imaging result obtained from the scan is not intended to provide information about a clinical diagnosis and will not be shared with participants.

54 Magnetic Resonance Imaging (MRI)**Note**

Refer to the PPMI MRI Technical Operations manual for a detailed description of the MRI imaging procedures.

Participants will undergo an MRI brain scan at the Baseline visit and will also undergo follow up MRI scans as indicated in the visit schedule. At the discretion of the Investigator and Imaging staff, participants who have presence of pacemakers, aneurysm clips, artificial heart valves, ear implants, metal fragments or foreign objects in the eyes, skin or body or any other known contra-indication to MRI may be advised not to complete a Baseline (or follow-up) MRI scan, but these participants may still

participate in the study.

RISKS TO PARTICIPANTS

55 **Blood Sampling**

Risks associated with venous blood draw include pain and bruising at the site where the blood is taken. Sometimes people can feel lightheaded or even faint after having blood drawn.

56 **MRI**

Participants should notify the study doctor if they suffer from claustrophobia because they may become anxious while in the magnetic resonance scanner. The investigator may treat the participant for anxiety if indicated. There may be loud noises such as knocking or hammering that occur while the MRI is being conducted. Participants should also inform the study doctor if they have a pacemaker or metal implants (screws, plates or clips) because this may preclude MR evaluation.

57 **SPECT Imaging Using DaTscan™**

57.1 Risks of DaTscan™: DaTscan™ is administered at radiotracer doses and is not expected to have any pharmacological or toxicological effects. DaTscan™ binds to the dopamine and serotonin transporter. At pharmacologic doses DaTscan™ might be expected to have stimulant-like effects and affect cardiovascular responses. However, in the proposed study the estimated mass dose of DaTscan™ is very low (<30/pmol kg). More than 500,000 doses of the radiotracer have been administered to human participants.

57.2 Iodine: Prior to each injection, participants will be pretreated with Lugol's (or similar) solution, 10 drops of a saturated solution of potassium iodide, to reduce thyroid uptake of the radioactive agent. Participants may experience a metallic or bitter taste in their mouths from the iodine. Participants with allergies to iodine might get itching, a rash, bloating, severe blood pressure changes (shock), and death if given iodine. Participants who are allergic to iodine may be imaged without Lugol's or if available may be administered potassium perchlorate rather than Lugol's.

57.3 In addition to the known risks listed above, these imaging procedures may cause unknown risks to the participant, or a developing embryo or fetus or possible risks to the future offspring of male participants. Female participants of childbearing potential will be asked to have a pregnancy test. Female participants and male participants whose partners become pregnant within 30 days of DaTscan™ injection should report the pregnancy on the Report of Pregnancy data form in EDC within 24 hours of notification of the pregnancy.

58 **Lumbar Puncture**

The most common risks of a lumbar puncture are pain at the site and a temporary headache usually due to a small amount of CSF leakage around the needle insertion site. Lying down for 30 -60 minutes after the test may make a headache less likely to occur. There is a slight risk of infection because the needle breaks the skin's surface, providing a possible portal of entry for bacteria. A temporary numbness to the legs or lower back pain may be experienced. There is a small risk of bleeding in the spinal canal. Participants will have blood drawn at the Screening or Baseline visit to test for coagulopathies.

59 **Skin Biopsy**

Risks associated with performing punch biopsies of the skin include pain and bruising at the site where the biopsy is taken. There is a small risk that the biopsy site may change color. The skin biopsy may leave a scar. There is also a small possibility of infection or bleeding at the biopsy site. Although very rare, it is possible to have an allergic reaction to the local anesthetic (lidocaine) or betadine.

60 **Disclosure of Genetic Information**

All genetic information will be maintained in a confidential research file. While every effort will be made to maintain confidentiality there is a small risk that information will be disclosed.

REFERRALS IN THE CASE OF CLINICALLY RELEVANT FINDINGS

- 61 If an assessment, lab, or MRI reveals a clinically significant abnormality (e.g., MRI structural lesion, indication of suicidality, depression, or renal impairment on metabolic profile), the participant will be informed of this result and instructed to follow up with his or her primary care physician. Should there be a safety concern warranting a referral for medical or psychiatric follow-up, the Investigator should provide the participant with the appropriate referral as necessary. The sites will follow their standard procedures for clinically urgent and non-urgent medical situations identified during study visits.

RETURN OF RESEARCH FINDINGS

- 62 In addition to the standard of care/clinically relevant results described above, information collected may result in obtaining research findings that could impact a participant's clinical care choices or decisions due to the extensive clinical and biomarker characterization that participants undergo in PPMI to achieve the goals of this study (for example, genetic results from non-CLIA certified testing, change in research diagnosis). The Investigator will use his/her judgment in determining whether to discuss these findings with the participant.

POTENTIAL BENEFITS TO PARTICIPANTS

- 63 There are no direct anticipated benefits to study participants in this study. However, new information may be generated by the study that will support development of better treatments for Parkinson's disease.

CONCOMITANT MEDICATIONS

64 Use of Concomitant Medications

- 64.1 Concomitant medications, including over-the-counter (OTC), dietary supplements (e.g., herbal remedies) or prescriptions, are permitted during the study period, except for the following medications that might interfere with dopamine transporter SPECT imaging which are restricted for 5 half-lives prior to a DaTscanTM injection: alpha methyl dopa, methylphenidate, modafinil, amphetamine derivatives and other CNS stimulants.
- 64.2 Medications known to be associated with drug induced parkinsonism will not be allowed for 6 months prior to screening and for the duration of the study, dopamine receptor blockers (neuroleptics), metoclopramide and reserpine. All concomitant medications reported at the time of the Screening visit and for the duration of participation are recorded on the study medication logs.

65 Initiation of PD Medication

It is anticipated that PD participants will not require PD medications for at least 6 months after Baseline. However, PD medications may be initiated at any time after enrollment at the discretion of the participant or treating physician (see Step 43). The medication used is at the discretion of the treating physician. The Investigator will document any new medications or changes in medication at each study visit on the study medication logs.

PARTICIPATION IN CLINICAL TRIALS

- 66 It is understood that individuals may want to participate in therapeutic clinical trials. It is preferred, but not required, that participants who choose to participate in clinical trials of investigational therapeutics, begin their clinical trial following 12 months of participation in PPMI. All participants who do enroll in a clinical trial may remain in the PPMI Clinical study. PPMI will work collaboratively with the clinical trial sponsor to share PPMI study data and encourage clinical trial participants to remain in PPMI Clinical in whatever capacity possible. Contact the Site Management Core for further instruction and to determine whether an in-person PPMI visit may be needed before the participant begins a therapeutic clinical trial. For those studies testing a drug, the Investigator will document on the medication log the study drug dosage, if applicable and known, and, if unknown, will report on the identity of the study drug and dosage after it is unmasked. Other information pertaining to participation in other clinical trials or observational studies may be documented in the PPMI study database.



COSTS FOR PARTICIPATION

- 67 All research travel, assessments and tests will be provided with no cost to the study participant.

PAYMENT AND REIMBURSEMENT FOR PARTICIPATION

- 68 Participants will be paid for completed study visits based on the visit type. Participants who require travel to the clinical site, or incur other costs associated with a study visit, will be reimbursed according to the study reimbursement guidelines. Participants will have the option to receive funds using either a pre-paid card, or direct deposit to a personal account.

PARTICIPANT WITHDRAWALS

- 69 Study participants will be informed during the consent process that they have the right to withdraw from the study at any time without prejudice and may be withdrawn at the Investigator's or Sponsor's discretion at any time. Any information that has already been collected prior to the study participant's withdrawal will not be removed. Participants who withdraw from the PPMI Clinical study might no longer be able to participate in some studies under the PPMI program.

ADVERSE EVENTS

- 70 Adverse Event Reporting Requirements
- 70.1 Site investigators and coordinators will be instructed to assess for adverse events at the study visit when SPECT imaging, lumbar puncture, or skin biopsy is conducted, as well as by telephone 2 to 3 [business/working] days following such activity. Adverse experiences, whether observed by the investigator, or elicited from or volunteered by the participant, should be recorded on the Adverse Event Log. Events occurring outside of the study procedure adverse event reporting period defined above do not require documentation for study purposes (i.e., will not be listed on the Adverse Event Log).
- 70.2 Any adverse event ongoing at the 2 to 3 [business/working] day reporting telephone visit, should be followed until resolution or stabilization. Adverse events reported following a premature withdrawal or conclusion of participation visit should be followed not more than 30 days from last study procedure (i.e., SPECT imaging, lumbar puncture, skin biopsy).
- 70.3 Adverse events will be reported by the site as required by the site's Institutional Review/Ethics Board and to the Radiation Safety Committee, as applicable.
- 71 Serious Adverse Event Reporting Requirements

Serious adverse events pertaining to SPECT imaging using DaTscan™, lumbar puncture, or skin biopsy will be reported as follows (see Operations Manual for detailed SAE reporting instructions):

- 71.1 Any serious adverse event occurring within 24 hours following the DaTscan™ injection will be documented on the Adverse Event Log and reported to GE Healthcare using PPMI GE Healthcare SAE Form, whether assessed as related to administration of DaTscan™ or not.
- 71.2 Any serious adverse event occurring more than 24 hours following the DaTscan™ injection that is assessed as being related to the DaTscan™ injection will be documented on the Adverse Event Log and reported to GE Healthcare using PPMI GE Healthcare SAE Form.
- 71.3 Any serious adverse event occurring up to 3 days following a lumbar puncture or skin biopsy will be documented on the Adverse Event Log and may result in additional follow up with the site.
- 71.4 The Investigator will comply with his/her local Institutional Review Board (IRB)/Ethics Board, and Radiation Safety Committee (as applicable), regarding the reporting of adverse experiences.

72 Adverse Event Definitions

72.1 Adverse Events (AE)

An AE is any undesirable experience occurring to a participant during study participation, whether or not considered related to the study procedure.

72.2 Serious Adverse Event (SAE)

An SAE is an AE that is fatal or life-threatening, or results in hospitalization, prolongation of hospitalization, persistent or significant disability/incapacity, or a congenital anomaly/birth defect. A life-threatening AE is an AE that, in the view of the investigator, places the participant at immediate risk of death from the reaction, as it occurred. Important medical events that may not result in death, be life-threatening, or require hospitalization may be considered an SAE when, based upon appropriate medical judgment, they may jeopardize the participant and may require medical or surgical intervention to prevent one of the outcomes listed in this definition.

Inpatient admission in the absence of a precipitating, treatment-emergent, clinical adverse event is not participant to immediate reporting. For example:

- Admission for treatment of a pre-existing condition not associated with the development of a new adverse event.

- Social admission (e.g., participant has no place to sleep).
- Protocol-specific admission during a clinical study (e.g., for a procedure required by another study protocol).
- Optional admission not associated with a precipitating clinical adverse event (e.g., for elective cosmetic surgery).

Inpatient admission does not include the following:

- Emergency Room/Accident and Emergency/Casualty Department visits
- Outpatient/same-day/ambulatory procedures
- Observation/short-stay units
- Rehabilitation facilities
- Hospice facilities
- Respite care (e.g., caregiver relief)
- Skilled nursing facilities
- Nursing homes
- Custodial care facilities

73 Assessing Relationship of Adverse Events

The assessment of the relationship of an AE to the imaging procedure, lumbar puncture, or skin biopsy is a clinical decision based on all available information at the time the event is being documented. The following definitions of the relationship between the AE (including SAEs) and the study procedure should be considered:

73.1 Unrelated - No possible relationship

The temporal relationship between study procedure and the adverse event onset/course is unreasonable or incompatible, or a causal relationship to study procedure is implausible.

73.2 Unlikely - Not reasonably related, although a causal relationship cannot be ruled out. While the temporal relationship between study procedure and the adverse event onset/course does not preclude causality, there is a clear alternate cause that is more likely to have caused the adverse event than the study procedure.

73.3 Possible - Causal relationship is uncertain

The temporal relationship between study procedure and the adverse event onset/course is reasonable or unknown, and while other potential causes may not exist, a causal relationship to the study procedure does not appear probable.

73.4 Probable - High degree of certainty for causal relationship

The temporal relationship between study procedure and the adverse event onset/course is reasonable and other causes have been eliminated or are unlikely.

73.5 Definite - Causal relationship is certain

The temporal relationship between study procedure and the adverse event onset/course is reasonable and other causes have been eliminated.



74 Assessing Intensity/Severity of Adverse Event

In addition to assessing the relationship of the adverse event to the study procedure, an assessment is required of the intensity (severity) of the event. The following classifications should be used:

74.1 Mild:

A mild AE is an AE, usually transient in nature and generally not interfering with normal activities.

74.2 Moderate:

A moderate AE is an AE that is sufficiently discomforting to interfere with normal activities.

74.3 Severe:

A severe AE is an AE that incapacitates the participant and prevents normal activities.

Note

Note that a severe event is not necessarily a serious event. Nor must a serious event necessarily be severe.

SIGNIFICANT STUDY EVENTS

- 75 There are important events that might occur during a participant's follow up in the study, such as initiation of PD medication, new clinical diagnosis, an SAE, pregnancy, or death. This information will be captured within the study database and may result in additional follow up with the site. These events are fully described in the Operations Manual.

STUDY MONITORING AND SITE MANAGEMENT

- 76 The PPMI Steering Committee has the responsibility to monitor all procedures for safety, GCP, and regulatory compliance. The study sites will be managed and overseen in an ongoing manner to verify:
- 76.1 The rights and well-being of human participants are protected.
- 76.2 The reported study data are accurate, complete, and attributable.
- 76.3 The conduct of the study follows the currently approved protocol/amendment(s), with GCP, and with the applicable regulatory requirement(s).

PRIVACY AND CONFIDENTIALITY

- 77 Privacy of participants will be protected in that each person will have the option to voluntarily choose whether to participate in this study. It is the responsibility of the site Investigator to consider the participant's privacy and confidentiality when completing study visits and related protocol activities.
- 78 The Site Investigator must assure that the confidentiality of participants, including their personal identity and personal medical information, will be maintained at all times. U.S. sites have additional confidentiality obligations to study participants under the Health Insurance Portability and Accountability Act (HIPAA), while European sites have additional obligations under the EU General Data Protection Regulation (GDPR). Participants will be identified by participant ID numbers on data forms and other study materials submitted to the Site Management Core (SMC), the central laboratory, and central biorepository.
- 79 The Site Investigator will permit the study monitor or designated SMC representative to review signed informed consent(s) and that portion of the participant's medical record that is directly related to the study (or provide certified copies of source documentation upon request). This shall include all study relevant documentation including participant medical history to verify eligibility, laboratory test result reports, admission/discharge summaries for hospital admissions occurring while the participant is in the study, and autopsy reports for deaths occurring during the study (when available). In addition, electronic document storage will be maintained within the Florence electronic trial master file.
- 80 Identifiable participant information may be stored within this system, which has been validated and deemed compatible with 21 CFR Part 11 requirements. Only study staff requiring access to related study documentation will have permission to view identifiable information.

DATA AND SAMPLE SHARING AND STORAGE FOR FUTURE USE

- 81 Additional data collected for this study will be maintained and stored indefinitely at the study Cores on secure, password protected systems. All study information (data and samples) will be accessed only by those who require access as pertains to the individual's role on the study. All organizations responsible for data storage and review will observe the highest precautions to ensure data integrity and security.
- 82 Data collected for this study may be transferred and shared across participating PPMI Cores including the Clinical Trials Statistical and Data Management Center (CTSDMC) at the University of Iowa, Indiana University PPMI Cores (Indianapolis, IN), the Site Management Core at the Institute for Neurodegenerative Disorders (New Haven, CT), and the Statistical Core at the University of Iowa (Iowa City, IA) for conducting analyses as pertains to the study including, but not limited to, enrollment, compliance, study outcomes and, in combination from the data received from PPMI Online and PPMI

Remote studies, to enable modifications to the predictive prodromal eligibility criteria. All PPMI data will be incorporated into a fully harmonized PPMI database.

- 83 All data obtained during the conduct of PPMI Clinical will be sent to the Laboratory of Neuro Imaging (LONI) in Los Angeles, California to be stored indefinitely for research purposes. Research data will be made available to researchers to conduct analyses related to PD and other disorders. Researchers will be required to comply with the PPMI data agreement to receive data. All personally identifiable information will be removed before it is shared outside the study.
- 84 Research biosamples will be shipped and stored indefinitely for research purposes at the Biorepository Cores at Indiana University School of Medicine, BioRep in Milan, Italy and Tel Aviv Sourasky Medical Center in Tel Aviv, Israel. Research specimens will be made available to researchers to conduct analyses related to PD and other disorders through an application process to the Biospecimen Review Committee (BRC). All personally identifiable information will be removed before it is shared outside the study.

ANALYSIS PLAN

- 85 The overall goal of PPMI is to identify markers of disease progression to inform clinical trials of therapies to reduce progression of PD disability. Correspondingly, all primary and secondary analyses of the PPMI data will focus on this goal. However, due to the rich nature of data collected as part of this study, many additional exploratory analyses will be examined throughout the study – both within and outside of the primary study steering committee.
- 86 Throughout the course of the study, analyses will be periodically updated to examine and compare baseline characteristics among the various subsets enrolled into the study. Continuous variables will be examined using a t-test and dichotomous variables will be examined using a chi-square test. Appropriate assumptions will be assessed for each comparison and necessary adjustments (i.e., transformations) will be made prior to analysis.
- 87 Primary Objectives
Comparison of progression biomarkers among cohorts/subsets
- 87.1 Use clinical and biological data to estimate the mean rates of change and variability around the mean of clinical, digital, imaging, biological, and genetic outcomes in study participants with PD diagnosis [including patients with a LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1)] and individuals with prodromal Parkinson's disease [including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1)] and/or other risk factors for PD with and without DAT deficit and in healthy participants.
- 87.2 Due to the large number of progression endpoints possible for consideration, a substantial number of analyses will be conducted to examine the change and variability over time. These analyses will include standard logistic, linear, and longitudinal models,

and many other proposed approaches for assessing these data. Primary interest will focus on wellknown and accepted measures of disease progression (such as the MDS-UPDRS). But, an examination and potential development of novel progression endpoints to better characterize disease progression over time in this heterogeneous cohort will be considered.

88 Examination of PD subsets

Analyses will also be conducted to assess whether there are subgroup differences observed with respect to disease progression. Analyses will confirm existing and identify novel clinical, digital, imaging, biologic, and genetic PD progression markers to identify quantitative individual measures or combinations of measures that demonstrate optimum interval change in study participants with PD diagnosis [including patients with a LRRK2, GBA, SNCA or rare genetic variants (such as Parkin or Pink1)] and individuals with prodromal Parkinson's disease [including individuals with RBD, olfactory loss, LRRK2, GBA, or rare genetic variants (such as Parkin or Pink1)] and/or other risk factors for PD with and without DAT deficit in comparison to healthy controls or in subsets of study participants with PD diagnosis or prodromal PD defined by baseline assessments, progression milestones and/or rate of clinical, digital, imaging, biologic, and genetic change, or other measures.

89 Analysis of prodromal participants phenoconversion

Evaluate the probability of phenoconversion for PD for individuals with prodromal PD enrolled in the prodromal cohorts [including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1)] and/or other risk factors for PD with and without DAT deficit. This analysis will involve estimating the number and percentage of prodromal participants that meet criteria for phenoconversion at a number of observed time points. For each period of time, the percentage and a 95% confidence interval will be reported.

90 Secondary Objectives

90.1 Ancillary biomarker studies

Conduct preliminary clinical, digital, imaging, biologic, and genetic markers verification studies on promising biological markers in study subsets using stored collected samples. A series of ancillary analyses will be conducted to verify known and novel proposed PD biomarkers. These studies will vary substantially depending on the type of marker. But, as much as possible, the methods and analysis plans for all verification studies will be reviewed centrally by the PPMI steering committee in advance of implementation.

90.2 Compare genetic and idiopathic PD

Compare biomarker signatures for study participants with PD diagnosis without known genetic variants to those with known genetic variant [including LRRK2, GBA, SNCA, or

rare genetic variants (such as Parkin or Pink1)]. These analyses will initially involve a high-level comparison of whether PD progression over time differs among those with and without a known genetic variant. Subsequent analyses will be implemented in much the same way as above, with the exception that models will implicitly assume and examine potential interactions between presence or absence of a known genetic variant and each of the potential progression markers considered in the various models.

90.3 Compare Prodromal and PD

Compare biomarker signatures for study participants with PD diagnoses to individuals with prodromal PD enrolled in the prodromal cohorts [including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1)] and/or other risk factors for PD with and without DAT deficit and between prodromal participants who phenoconvert and those that have not phenoconverted.

90.4 Model Prodromal progression and predictors of phenoconversion

Develop and test risk paradigms to establish the sequence of early prodromal events (clinical, imaging, biologic changes) in individuals with prodromal PD enrolled in the prodromal cohorts [including individuals with RBD, olfactory loss, LRRK2, GBA, SNCA, or rare genetic variants (such as Parkin or Pink1) and/or other risk factors for PD with and without DAT deficit] including testing early signal of risk in the associated PPMI Online and PPMI Remote studies.

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